

State of Rhode Island
Rhode Island Division of Public Utilities and Carriers

APPLICATION FOR PERMIT TO OPERATE AS A TRANSPORTATION
NETWORK COMPANY IN RHODE ISLAND

A. Legal name of individual, partnership, corporation, or other association of individuals making request for authority to operate as a Transportation Network Company (“TNC”):

NOTE: A TNC is defined as an entity that uses a digital network to connect TNC riders to TNC operators (drivers) who provide prearranged rides. A TNC service or “prearranged ride” means the provision of transportation by a TNC driver to a TNC rider beginning when a THN driver accepts a TNC rider’s request for a ride made only through a digital network controlled by a TNC, continuing while the TNC driver transports the requesting TNC rider(s), and ending when the last requesting TNC rider(s) departs from the TNC driver’s personal vehicle.

B. Required Information about Applicant

1. Business Address (must not be a post office box) _____

2. The name of the state where organized; date of organization, a copy of the articles of the articles of incorporation, association, partnership agreement, or other similar document regarding legal organization:

3. Name and business address of all officers and directors, partners, or other similar officials:

4. Name, title, and telephone number of customer service contact person:

5. Name, title, and telephone number of regulatory contact person:

6. Name, title, and address of registered agent for service of process:

C. All applicants must submit the following attachments/documentation with this application:

1. A written policy reflecting that the TNC applicant, if the application is approved, will cap dynamic pricing during disasters and relevant states of emergency. The applicant may, alternatively, agree to make this policy available on its website, subject to subsequent approval by the Division.

Policy attached to application: Yes _____ No _____

Policy to instead go on website: Yes _____ No _____ (subject to later Division approval).

2. Documentation to demonstrate that the applicant has a sufficient oversight process in place to ensure that every vehicle providing transportation network services through its digital network possesses adequate insurance coverage.

Documentation included: Yes _____ No _____

3. Documentation containing information on the internal or third-party background check entity and its data collection process to ensure compliance with the requirements established in §39-14.2-7(b) and (c).

Documentation included: Yes _____ No _____

4. Documentation to demonstrate that the applicant has sufficient oversight processes in place to: (1) ensure that each TNC driver using the applicant’s digital network has submitted to a background check conducted by the applicant that includes a review of local and national criminal records, sex offender records, and driving records associated with each driver; and (2) ensure that each TNC driver has submitted an application to the TNC that includes the individual’s name, address, age, driver’s license number, a photocopy or electronic copy of the driver’s license and motor-vehicle registration for the personal vehicle that the individual intends to use to provide prearranged rides, and proof of automobile liability insurance.

Documentation included: Yes _____ No _____

5. A representative sample or image (with dimensions) of the transportation network affiliation placard or trade dress that the applicant plans to use as an authorized TNC.

Placard sample included: Yes _____ No _____

6. Documentation to demonstrate that the applicant will be able to provide the Division with a **weekly** “drivers list” that verifies that its drivers have been properly vetted by providing the following details on each driver: (1) the driver’s full name, (2) the plate number (and state) that will be displayed on the vehicle the driver plans to use to provide TNC services, (3) the driver’s license number (and state), and (4) the date on which the driver is authorized to begin providing TNC services.

Documentation included: Yes _____ No _____

7. Documentation to demonstrate that the applicant has established vehicle sanitary and acceptability standards for its TNC drivers, which ensures compliance with the vehicle age and safety requirements contained in R.I. Gen. Laws Chapter 39-14.2 and, which also ensures that TNC riders will be transported in clean vehicles.

Documentation included: Yes _____ No _____

8. Documentation to demonstrate that the applicant has established a policy of non-discrimination based on the rider’s race, color, national origin, religious belief or affiliation, gender, physical disability, age, sexual orientation/identity, or the pick-up location or drop-off location requested by the rider.

Documentation included: Yes _____ No _____

9. Documentation to demonstrate that the applicant has established a zero tolerance alcohol and drug policy regarding a TNC driver's activities while accessing the TNC's digital network. The zero tolerance policy must address the use of drugs or alcohol while a TNC driver is providing prearranged rides or is logged into the TNC's digital network but not providing prearranged rides.

Documentation included: Yes _____ No _____

10. Evidence of financial soundness such as surety bonds, a recent financial statement, or other mechanism as specified by the Division

Documentation included: Yes _____ No _____

D. All applicants must acknowledge that they understand and are willing to comply with the following regulatory powers of the Division:

1. That the Division has the authority to annually audit a TNC's records to ensure compliance with the regulatory requirements contained in R.I. Gen. Laws Chapter 39-14.2.

Understand and willing to comply: Yes _____ No _____

2. That the Division is authorized to inspect TNC records that are necessary to investigate and resolve any complaints.

Understand and willing to comply: Yes _____ No _____

E. Application Fee

1. **\$5000** application and annual renewal fee for a TNC with fewer than 50 active TNC operators.

Applies: Yes _____ (must include check with application)

2. **\$10,000** application and annual renewal fee for a TNC with at least 50, but fewer than 200 active TNC operators.

Applies: Yes _____ (must include check with application)

3. **\$30,000** application and annual renewal fee for a TNC with at least 200 active TNC operators.

Applies: Yes _____ (must include check with application)

NOTES: For an initial application filing from a TNC operating since prior to November 3, 2016 (pursuant to R.I.G.L. §30-14.2-5(a)), the Division will determine the appropriate application fee based on the number of active drivers that are driving on the day the application is filed. For an initial application filing from a prospective TNC, not yet operating, the minimum application fee of \$5000 shall apply. For subsequent application renewals, the Division will determine the appropriate application fee based on the number of active drivers identified in that TNC's most recent weekly drivers log submittal.

Once issued, all permits shall be renewed before the close of business on December 31 of each calendar year. Permits shall not be transferred without the written consent and approval of the Division.

F. Certification of Familiarity with R.I. Gen. Laws Chapter 39-14.2 and the Division's TNC Rules and Regulations.

1. All applicants must certify that they have read and are familiar with the provisions and requirements contained in R.I. Gen. Laws Chapter 39-14.2 and the Division's Rules and Regulations that govern the

“Transportation of Passengers via Transportation Network Companies,” which became effective on November 13, 2017.

Certify compliance: Yes _____ No _____

OATH

I (We) _____

being duly sworn, state that I am (We are) qualified and authorized to file and verify this application, that I (We) have carefully examined all the statements and answers contained in the application and that all such statements and answers set forth herein are true and correct to the best of my (our) knowledge and belief.

Signature(s) of authorized representative(s) of Applicant before a Notary Public

Subscribed and Sworn to before me at _____, in the state of _____, this _____ day of _____, 20____.

Printed name of Notary Public

Signature of Notary Public

My commission expires: _____

