



**State of Rhode Island  
Division of Public  
Utilities and Carriers  
NON-REGULATED POWER  
PRODUCER APPLICATION**

**1. Legal name.**

---

---

**2. Business Address.**

---

---

**3. The name of the state where organized; the date of organization; a copy of the articles of incorporation, association, partnership agreement, or other similar document regarding legal organization.**

---

---

**4. Name and business address of all officers and directors, partners; or other similar officials.**

---

---

---

---

**5. Name, title, email address and telephone number of customer service contact person.**

---

---

**6. Name, title, email address and telephone number of regulatory contact person.**

---

---

**7. Name, title and address of registered agent for service of process.**

---

---

**8. Brief description of the nature of business being conducted.**

---

---

---

---

**9. Evidence of financial soundness accompanied by audited financial statements. Those nonregulated power producers who may be obligated entities shall provide financial security showing evidence of liquid funds, such as: (1) a surety bond; (2) a certificate of deposit (3) an irrevocable standby letter of credit from an ISO New England Eligible Letter of Credit Bank, a New York Mercantile Exchange (“NYMEX”) or a Chicago Mercantile Exchange (“CME”) approved letter of credit bank, or, (4) a corporate guarantee from an investment-grade entity with a Tangible Net Worth of at least ten million dollars (\$10,000,000.00). The financial security shall be in an amount of not less than two hundred fifty thousand dollars (\$250,000.00). All financial security provided in satisfaction of this provision shall name the Commission and the Division as obligees. See Rule 1.3 Prerequisites for RI Operations <https://rules.sos.ri.gov/regulations/part/815-30-05-1> for more details.)**

---

---

---

**10. Evidence that in accordance with the Rhode Island Public Utilities Commission's Reliability Responsibility Regulations, the applicant is either:**

- a) A NEPOOL Participant; or b) has a written agreement with a NEPOOL member through which such member agrees to include the load served by the NPP in its load. A copy of this agreement (with any pricing or unrelated commercial terms redacted) should be included with the applicant's filing.

---

---

**11. Evidence of authorization from the Rhode Island Secretary of State to do business in Rhode Island. (The Secretary of State's Office may be contacted at <https://www.sos.ri.gov/> or Phone: (401) 222-3040.)**

---

**12. Affirmation:**

\_\_\_\_\_  
Company Name

**agrees to comply with the Rhode Island General Laws and all rules and regulations promulgated by the Public Utilities Commission / Division of Public Utilities and Carriers.**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date