

**Rhode Island Public Utilities Commission
89 Jefferson Boulevard
Warwick, RI 02888
RENEWABLE ENERGY STANDARD
RES ANNUAL COMPLIANCE FILING
FOR COMPLIANCE YEAR 2012
BY Obligated Entities
Pursuant to the Renewable Energy Standard Regulations**

Compliance Filing Deadline

Pursuant to the Rules and Regulations Governing the Implementation of a Renewable Energy Standard, the *RES Annual Compliance Filing* must be made within 30 calendar days after NEPOOL GIS reports are available for the fourth quarter of the applicable compliance year.

Applicable Regulations and Minimum Renewable Energy Resource Requirements

Rhode Island General Laws §39-26-1 *et seq.* and the Rules and Regulations Governing the Implementation of a Renewable Energy Standard (“RES Regulations”) promulgated pursuant thereto require that for Compliance Year 2012, all Obligated Entities shall obtain at least six and one half percent (6.5%) of electricity sold by the Obligated Entity to Rhode Island end-use customers inclusive of losses from Eligible Renewable Energy Resources. Specifically, no more than two percent (2%) of the retail electricity sales used to meet this obligation shall be from Existing Renewable Energy Resources and a minimum of four and one half percent (4.5%) shall be from New Renewable Energy Resources. A table of target percentages can be found at RES Regulations Section 4.2. It is important to note that the electronic, MS Excel, versions of **both** the Table 1 **and** the NEPOOL GIS Certificate documentations must be submitted by email to the Commission.

Any information submitted in or attached to the Compliance Filing shall be considered public record unless specifically exempt pursuant to R.I.G.L. §38-2-2. An Obligated Entity or other interested party may petition the Commission pursuant to Rule 1.2(g) of the Commission’s Rules of Practice and Procedure to request confidential treatment of certain information and exemption from the requirement of public disclosure.

Compliance Filing Instructions

Please mail one original and three (3) copies of the completed *Annual Compliance Filing* to:

Luly Massaro, Commission Clerk
Rhode Island Public Utilities Commission
89 Jefferson Boulevard
Warwick, RI 02888

In addition, please e-mail to RES@puc.ri.gov an electronic, MS Excel, version of **both** Table One **and** the NEPOOL GIS Certificate documentation required to complete and verify Columns F & I in Part One of Table One, and certain Banked Certificate information in Part Two of Table One. Questions regarding completion of the RES Compliance Filing should be directed to RES@puc.ri.gov or to Luly E. Massaro, Commission Clerk at (401) 780-2107.

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SECTION 1: Identification and Contact Information

1.1 Name of Obligated Entity:

Legal Name:

Any Other Name(s) Used in the Retail Electricity Market in Rhode Island:

1.2 Name of Contact Person:

Title: _____

Address: _____

Phone: _____

Fax: _____

E-mail: _____

1.3 Name of Authorized Representative:

Title: _____

Address: _____

Phone: _____

Fax: _____

E-mail: _____

Section 2: Annual Sales and New and Existing Renewable Energy Resource Certificates for Each Electrical Energy Product

TABLE ONE															
PART ONE: Compliance for Calendar Year (CY) 2012															
A	B	C	D	E	F	G	H	I	J	K	L	M	O	P	
Calculation of Renewable Energy Obligation				Renewable Energy Purchases & Calculation of Current Year Surplus or (Shortfall)										Voluntary Purchases	
Sub-Account and/or Product Name or Number	Total Electricity Sold in Current Compliance Year for each RI Electrical Energy Product	Quantity of Renewable Energy Standard to be Satisfied Only by <u>New Renewable Energy Resource</u> Certificates	Quantity of Renewable Energy Standard Satisfiable by either <u>New or Existing Renewable Energy Resource</u> Certificates	Current Compliance Year NEPOOL GIS RI New Renewable Energy Resource Certificates ²	Banked NEPOOL GIS RI New Renewable Energy Resource Certificates Applied to Current Compliance Year ²	Total NEPOOL GIS RI New Renewable Energy Resource Certificates Applied to Current Compliance Year ²	Current Compliance Year NEPOOL GIS RI Existing Renewable Energy Certificates ²	New Renewable Energy Surplus / (Shortfall)	Existing Renewable Energy Certificate Surplus / (Shortfall) Compared to 2% Maximum Allowance	Is Current Year Compliant or in Shortfall? ³	Certificate Balance ⁴ : Shortfall = ACP Obligation; Surplus = banked in "row (f)" below	New Renewable Energy Resource Certificates purchased during Current Compliance Year on behalf of End-use Customers for voluntary programs	Existing Renewable Energy Resource Certificates purchased during Current Compliance Year on behalf of End-use Customers for voluntary programs		
Units	MWh	MWh	MWh	MWh	MWh	MWh	MWh	MWh	MWh	MWh	MWh	MWh	MWh		
Inputs		4.5% of column C	2.0% of column C	Not to Exceed											
1	SAMPLE	100,000	4,500	2,000	2,100	0	2,100	2,100	(2,400)	100	Shortfall	(2,400)	50	165	
2															
3															
4															
5															
6															
	Total	100,000	4,500	2,000	2,100	0	2,100	2,100	(2,400)	100	Shortfall	(2,400)	50	165	
<i>Check Cell: OK</i>															
PART TWO: Banked Compliance															
Tracking of Banked Compliance				Explanation and Instructions											
	(a)	Banked Certificates from 2 Compliance Years ago	0	Insert value from Row (e) from the previous year's Compliance Filing											
	(b)	Banked Certificates from 1 Compliance Year ago	0	Insert value from Row (g) from the previous year's Compliance Filing.											
	(c)	Banked Certificates Applied toward Current Year Compliance	0	Drawn from sum of Column G above.											
	(d)	Remaining Banked Certificates from 2 Compliance Years ago, <u>now EXPIRED</u>	0	Balance of unused Banked Certificates from 2 Compliance Years ago. These Certificates are now expired.											
	(e)	Remaining Banked Certificates from 1 Compliance Year ago, still valid	0	Balance of unused Banked Certificates from previous Compliance Year. These Certificates are still valid for use in next year's Filing (in next year's Row (a)).											
	(f)	Current Year Surplus, if applicable	0	Calculated from Table One above											
	(g)	Amount of Current Year Surplus Available for Banking	0	The lesser of the Current Year Surplus and the maximum amount of banking allowed (30% of the current year's RES obligation)											
	(h)	Ending Balance of Still Valid Banked Certificates	0	Current Year Surplus, if any + any Remaining Banked Certificates from 1 Compliance Year ago.											
<i>Check Cell: OK</i>															
	Blue Text	Blue Text on a Yellow Background denotes input cells for use by the Obligated Entity													
	Black Text	Black Text on a White Background denotes cells containing formulae that will calculate automatically													
	(1)	Including all applicable losses.													
	(2)	Excluding those certificates for which voluntary green power marketing claims were made.													
	(3)	"Existing" Certificates limited to 2% of total RES Compliance; "New" Certificates (if available) applied to "Existing" Requirement if in shortfall.													
	(4)	If Alternative Compliance Credits are required, a receipt from the Rhode Island Economic Development Corporation (RI EDC) documenting the Obligated Entity's Alternative Compliance Payments for the current compliance year must accompany this filing.													

SAMPLE

SECTION 3: Certification

Sign and date both Certifications on this page.

In addition, provide documentation, in accordance with the instructions below – attaching, if applicable, Appendix A or Appendix B – that demonstrates the authority of the Authorized Representative named in Section 1.3 to certify and submit this *Annual Compliance Filing*.

Corporations

If the Obligated Entity is a corporation, the Authorized Representative shall attach **either**:

- (a) A board of directors vote granting authority to the Authorized Representative to execute the *Annual Compliance Filing*, **or**
- (b) A certification from the Corporation stating that the Authorized Representative is authorized to execute the *Annual Compliance Filing*, or is otherwise authorized to legally bind the corporation in like matters.

Individuals

If the Obligated Entity is an individual, that individual shall complete and attach APPENDIX A, or a similar form of certification from the Obligated Entity, duly notarized, that certifies that the Authorized Representative has authority to execute the *Annual Compliance Filing*.

Non-Corporate Entities

(Proprietorships, Partnerships, Cooperatives, etc.)

If the Obligated Entity is not an individual or a corporation, the Authorized Representative shall provide APPENDIX B or other executed resolution indicating that the person named in section 1.3 has authority to execute the *Annual Compliance Filing*.

I hereby certify that the RI Renewable Energy Resource Attribute Certificates reported in this *Annual Compliance Filing* have not otherwise been, nor will be, sold, retired, claimed or represented as part of electrical energy output or sales, or used to satisfy obligations in jurisdictions other than Rhode Island.

Name of Authorized Representative Date

Signature of Authorized Representative Date

I hereby certify that the information submitted herein is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment.

Name of Authorized Representative Date

Signature of Authorized Representative Date

APPENDIX A
(Required when Retail Electricity Supplier Is an Individual)
Rhode Island Public Utilities Commission
ANNUAL COMPLIANCE FILING
Pursuant to the Renewable Energy Standard

I, _____, the Obligated Entity named in Section 1.1 of the attached *RES Annual Compliance Filing*, under the pains and penalties of perjury, hereby certify that _____, named in Section 1.3 of the attached *RPS Annual Compliance Filing*, is authorized to execute this *RPS Annual Compliance Filing*.

SIGNATURE:

DATE:

(title)

(TO BE COMPLETED BY NOTARY)

I, _____, as a notary public, certify that I witnessed the signature of the above named _____, and that said individual verified his/her identity to me on this date: _____.

SIGNATURE:

DATE:

My commission expires on: _____ NOTARY SEAL

APPENDIX B
**(Required when Retail Electricity Supplier Is a Non-Corporate Entity
Other Than an Individual)**
Rhode Island Public Utility Commission
ANNUAL COMPLIANCE FILING
Pursuant to the Renewable Energy Standard

RESOLUTION OF AUTHORIZATION

Resolved: that _____, named in Section 1.3 of the *RES Annual Compliance Filing* as Authorized Representative, is authorized to execute the *RES Annual Compliance Filing* on the behalf of _____, the Obligated Entity named in Section 1.1 of the *RES Annual Compliance Filing*.

SIGNATURE:

DATE:

(title)

(TO BE COMPLETED BY NOTARY)

I, _____, as a notary public, certify that I witnessed the signature of the above named _____, and that said person stated that he/she is authorized to execute this resolution, and that the individual verified his/her identity to me, on this date: _____.

SIGNATURE:

DATE:

My commission expires on: _____

NOTARY SEAL: