Rhode Island Public Utilities Commission  
89 Jefferson Boulevard  
Warwick, RI 02888  
RENEWABLE ENERGY STANDARD  
ANNUAL COMPLIANCE FILING  
FOR COMPLIANCE YEAR 2019  
BY Obligated Entities  
Pursuant to the Renewable Energy Standard Regulations

Compliance Filing Deadline  

Applicable Regulations and Minimum Renewable Energy Resource Requirements  
Rhode Island General Laws §39-26-1 et seq. and the Rules and Regulations Governing the Implementation of a Renewable Energy Standard (RES Regulations) promulgated pursuant thereto require that for Compliance Year 2019, all Obligated Entities shall obtain at least fourteen and half percent (14.5%) of electricity sold by the Obligated Entity to Rhode Island end-use customers inclusive of losses from Eligible Renewable Energy Resources. Specifically, no more than two percent (2%) of the retail electricity sales used to meet this obligation shall be from Existing Renewable Energy Resources and a minimum of twelve and half percent (12.5%) shall be from New Renewable Energy Resources. A table of target percentages is published at http://www.ripuc.org/utilityinfo/RES-Annual-Targets.pdf.

The electronic spreadsheet (MS Excel) versions of both Table One and the NEPOOL GIS Certificate documentation, along with a PDF of the Annual Compliance Filing must be submitted by email to the Commission. Any information submitted in or attached to the Compliance Filing shall be considered public record unless specifically exempt pursuant to R.I.G.L. §38-2-2. An Obligated Entity or a party may petition the Commission pursuant to Rule 1.19(E) of the Commission’s Rules of Practice and Procedure to request confidential treatment of certain information and exemption from the requirement of public disclosure.

Compliance Filing Instructions  
Mail an original of the completed Annual Compliance Filing to:  
Luly Massaro, Commission Clerk  
Rhode Island Public Utilities Commission  
89 Jefferson Boulevard  
Warwick, RI 02888  
In addition, e-mail to Res.Filings@puc.ri.gov, an MS Excel version of both Table One and the NEPOOL GIS Certificate documentation, along with a PDF of the Annual Compliance Filing. Questions regarding completion of the RES Compliance Filing should be directed to Res.Filings@puc.ri.gov or to Luly E. Massaro, Commission Clerk at (401) 780-2107.

1 Within thirty (30) calendar days after NEPOOL GIS reports are available for the fourth (4th) quarter of the applicable Compliance Year. RES Regulation 2.8(E).
SECTION 1: Identification and Contact Information

1.1 Name of Obligated Entity:

Legal Name: ____________________________________________________________

Any Other Name(s) Used in the Retail Electricity Market in Rhode Island:

______________________________________________________________________

1.2 ISO-NE Asset Identification Number(s) of the Obligated Entity:

ISO-NE Asset ID #: ________________________________________________

1.3 Name of Contact Person:

Title: ___________________________________________________________________
Address: __________________________________________________________________
Phone: ___________________________________________________________________
Fax: ___________________________________________________________________
E-mail: ___________________________________________________________________

1.4 Name of Authorized Representative:

Title: ___________________________________________________________________
Address: __________________________________________________________________
Phone: ___________________________________________________________________
Fax: ___________________________________________________________________
E-mail: ___________________________________________________________________
Section 2: Annual Sales and New and Existing Renewable Energy Resource Certificates for Each Electrical Energy Product

PART ONE: Compliance for Calendar Year (CY) 2019

<table>
<thead>
<tr>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>E</th>
<th>F</th>
<th>G</th>
<th>H</th>
<th>I</th>
<th>J</th>
<th>K</th>
<th>L</th>
<th>M</th>
<th>O</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sub-Account and/or Product Name or Number</td>
<td>Total Electricity Sold</td>
<td>Renewable Energy Surplus / (Shortfall)</td>
<td>Renewable Energy Surplus</td>
<td>Existing Renewable Energy Surplus</td>
<td>New Renewable Energy Surplus</td>
<td>Existing Renewable Energy Resource Certificates</td>
<td>New Renewable Energy Resource Certificates</td>
<td>Certificates Satisfied Only by Renewable Energy Standard</td>
<td>Existing Renewable Energy Resource Certificates Applied to Current Compliance Year</td>
<td>New Renewable Energy Resource Certificates in Current Compliance Year</td>
<td>Total NEPOOL GIS Renewable Energy Certificates in Current Compliance Year</td>
<td>New Renewable Energy Resource Certificates Purchased during Current Compliance Year on behalf of End-use Customers for Voluntary Programs</td>
<td>Balance of unused Banked Certificates from 2 Compliance Years ago. These Certificates are now expired</td>
<td>Balance of unused Banked Certificates from previous Compliance Year. These Certificates are still valid for use in next year’s Filing (in next year’s Row (f))</td>
</tr>
<tr>
<td>Name or Product</td>
<td>(1) Including all applicable losses. The Commission utilizes The Narragansett Electric Company’s (d/b/a National Grid) load data to verify against the load data reported by the Obligated Entity. Obligated Entities are encouraged to contact Narragansett to obtain this information.</td>
<td>(2) Excluding those certificates for which voluntary green power marketing claims were made.</td>
<td>(3) “Existing” Certificates limited to 2% of total RES Compliance; “New” Certificates (if available) applied to “Existing” Requirement if in shortfall.</td>
<td>(4) If Alternative Compliance Credits are required, a receipt from the Rhode Island Commerce Corporation (RI CC) documenting the Obligated Entity’s Alternative Compliance Payments for the current compliance year must accompany this filing.</td>
<td>(5) Voluntary purchases reported must have their own sub-account in the NEPOOL-GIS and this sub-account must be identified in Column B.</td>
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</tbody>
</table>

PART TWO: Banked Compliance

<table>
<thead>
<tr>
<th>Tracking of Banked Compliance</th>
<th>Explanation and Instructions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Banked Certificates from 2 Compliance Years ago</td>
<td>Insert value from Row (a) from the previous year’s Compliance Filing</td>
</tr>
<tr>
<td>Banked Certificates from 1 Compliance Year ago</td>
<td>Insert value from Row (g) from the previous year’s Compliance Filing</td>
</tr>
<tr>
<td>Banked Certificates Applied toward Current Year Compliance</td>
<td>Drawn from sum of Column G above.</td>
</tr>
<tr>
<td>Remaining Banked Certificates from 2 Compliance Years ago, now EXPired</td>
<td>Balance of unused Banked Certificates from 2 Compliance Years ago. These Certificates are now expired.</td>
</tr>
<tr>
<td>Remaining Banked Certificates from 1 Compliance Year ago, still valid</td>
<td>Balance of unused Banked Certificates from previous Compliance Year. These Certificates are still valid for use in next year’s Filing (in next year’s Row (f)).</td>
</tr>
<tr>
<td>Current Year Surplus, if applicable</td>
<td>Calculated from Table One above</td>
</tr>
<tr>
<td>Amount of Current Year Surplus Available for Banking</td>
<td>The lesser of the Current Year Surplus and the maximum amount of banking allowed (30% of the current year’s RES obligation)</td>
</tr>
<tr>
<td>Surplus Balance of Still Valid Banked Certificates</td>
<td>Current Year Surplus, if any + any Remaining Banked Certificates from 1 Compliance Year ago.</td>
</tr>
</tbody>
</table>
SECTION 3: Certification

Sign and date both Certifications on this page.

In addition, provide documentation, in accordance with the instructions below – attaching, if applicable, Appendix A or Appendix B – that demonstrates the authority of the Authorized Representative named in Section 1.3 to certify and submit this Annual Compliance Filing.

**Corporations**

If the Obligated Entity is a corporation, the Authorized Representative shall attach either:

(a) A Board of Directors vote granting authority to the Authorized Representative to execute the Annual Compliance Filing, or

(b) A certification from the Corporation stating that the Authorized Representative is authorized to execute the Annual Compliance Filing, or is otherwise authorized to legally bind the corporation in like matters.

**Individuals**

If the Obligated Entity is an individual, that individual shall complete and attach APPENDIX A, or a similar form of certification from the Obligated Entity, duly notarized, that certifies that the Authorized Representative has authority to execute the Annual Compliance Filing.

**Non-Corporate Entities**

(Proprietorships, Partnerships, Cooperatives, etc.)

If the Obligated Entity is not an individual or a Corporation, the Authorized Representative shall provide APPENDIX B or other executed resolution indicating that the person named in section 1.3 has authority to execute the Annual Compliance Filing.

I hereby certify that the RI Renewable Energy Resource Attribute Certificates reported in this Annual Compliance Filing have not otherwise been, nor will be, sold, retired, claimed or represented as part of electrical energy output or sales, or used to satisfy obligations in jurisdictions other than Rhode Island.

<table>
<thead>
<tr>
<th>Name of Authorized Representative</th>
<th>Date</th>
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<table>
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<tr>
<th>Signature of Authorized Representative</th>
<th>Date</th>
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I hereby certify that the information submitted herein is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment.

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APPENDIX A
(Required when Retail Electricity Supplier Is an Individual)
Rhode Island Public Utilities Commission

ANNUAL COMPLIANCE FILING
Pursuant to the Renewable Energy Standard

I, _____________________________________________, the Obligated Entity
named in Section 1.1 of the attached RES Annual Compliance Filing, under the pains and
penalties of perjury, hereby certify that

____________________________________________, named in Section 1.3 of the
attached RPS Annual Compliance Filing, is authorized to execute this RPS Annual
Compliance Filing.

SIGNATURE:        DATE:
______________________________                       ______________
_____________________________________
(title)

________________________________________________________________

(TO BE COMPLETED BY NOTARY)
I, ________________________________________________, as a notary public, certify
that I witnessed the signature of the above named
______________________________________________, and that said individual verified his/her
identity to me on this date: ________________________.

SIGNATURE:        DATE:
_______________________________________                   ______________

My commission expires on: _______________________   NOTARY SEAL
APPENDIX B
(Required when Retail Electricity Supplier Is a Non-Corporate Entity
Other Than an Individual)
Rhode Island Public Utilities Commission
ANNUAL COMPLIANCE FILING
Pursuant to the Renewable Energy Standard

RESOLUTION OF AUTHORIZATION

Resolved: that ____________________________________________, named in Section 1.3 of the RES Annual Compliance Filing as Authorized Representative, is authorized to execute the RES Annual Compliance Filing on the behalf of ____________________________________________, the Obligated Entity named in Section 1.1 of the RES Annual Compliance Filing.

SIGNATURE: DATE:
_________________________________________ __________

(title)

(TO BE COMPLETED BY NOTARY)
I, ____________________________________________, as a notary public, certify that I witnessed the signature of the above named ____________________________________________, and that said person stated that he/she is authorized to execute this resolution, and that the individual verified his/her identity to me, on this date: ________________________.

SIGNATURE: DATE:
__________________________________________ __________
My commission expires on: ________________________ NOTARY SEAL: