

VIA OVERNIGHT

RI Public Utilities Commission
89 Jefferson Boulevard
Warwick, RI 02888
401-941-4500

Re: Crocker Communications, Inc.

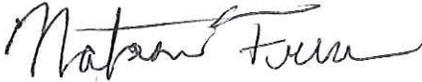
Dear Sir or Madam:

Crocker Communications, Inc. respectfully submits an original and three copies to the Commission, the enclosed package is in reference to Crocker Communications, Inc. CLEC Class VI (VoIP Provider). Furthermore, this application includes the \$300 registration fee.

I have also enclosed an extra copy of this letter to be date stamped and returned to me in the enclosed, self-addressed, postage prepaid envelope.

Please contact me directly if you have any questions or if you require any additional information regarding this filing.

Respectfully Submitted,



Natasha Freeman
Legal Staff
Global Strategic Accountants, LLC
nfreeman@gsaudits.com
678-829-4395

Enclosures

RECEIVED
2018 MAR 14 PM 1:11
PUBLIC UTILITIES COMMISSION

**RI Public Utilities Commission, 89 Jefferson Boulevard, Warwick, RI 02888
Voice: 401-941-4500 • Email: thomas.kogut@dpuc.ri.gov**

Submit Original plus 3 copies of Complete Package AND Check (see 4a or 4b below)

1. A telecommunication services supplier must file a Statement of Business Operations ("SBO"), including the following information in a question – answer format.

a. Corporate name, complete address, telephone/fax numbers, e-mail address

**Address: Crocker Communications, Inc.
Phone: 413-654-1000
Website: www.crocker.com**

b. Local Company name, complete address, telephone/fax numbers, e-mail address

none

c. Business locations.

None in Rhode Island

d. Service agent, complete address, telephone/fax numbers, e-mail address

**Cogency Global Inc.
222 Jefferson Boulevard
Warwick, RI 02888**

e. Attorney of record, complete address, telephone/fax numbers, e-mail address

Deborah A. Basile, Esq.
Doherty, Wallace, Pillsbury and Murphy, P.C.
One Monarch Place, 19th Floor
Springfield, Massachusetts 01144
413-733-3111 (office)
413-734-3910 (fax)
www.dwpm.com
dbasile@dwpm.com

f. Corporate officers and major stockholders or partners holding a ten percent or greater equity interest.

Matthew Crocker

President / Treasurer

James Crocker

Vice President

Regis Johnston

Secretary

Kathryn Whitney

Vice President

g. General description of operations.

Voice over Internet Protocol

h. Description, in detail, of the customer service organization to be employed in serving carriers and end users.

**Disputes/customer service requests are entered into ticket format to be worked by appropriate parties.
Communication with customers is handled via ticket triage, troubleshooting and resolution**

i. Customer service contact, complete address, telephone/fax numbers, e-mail address

**Iomay Caban
Crocker Communications Inc.
Manager of Client Support
1 Federal Street
Springfield, MA 01105
413-654-1000
icaban@corp.crocker.com**

j. Regulatory contact person, complete address, telephone/fax numbers, e-mail address

**Beth Brandenstein
VP, Compliance Operations
Global Strategic Accountants, LLC
6250 Shiloh Rd. Suite 240
Alpharetta, GA 30005
678-203-0276 Direct
678-329-3329 Fax**

k. Company web site URL, if available.

www.crocker.com

2. Provide evidence, in exhibit form, of authorization from the Rhode Island Secretary of State, 148 West River St., Providence, RI 02904 (401-222-3040), to do business in Rhode Island. Any filing not including this requirement will be put on hold until the documentation is received or the filing package will be return for deficiencies. And supply the "d/b/a name form" from the Secretary of State if using a fictitious name within the state. (see requirements below for this procedure)

See Attachment 1

3. All telecommunication service supplier applicants must file latest company's stated financial position.

See Attachment 2

4.a. Only the CLEC (Class I and Class VI) may file tariffs at a later date; 30 days before commencing operations in the state. Filing must include a **check in the amount of \$300.00**, made payable to "State of Rhode Island".

4.b. All non CLEC (Class II, III, IV,V) telecommunication service suppliers filing must include tariffs leaving the effective date BLANK. Filing must also include a **check in the amount of \$250.00**, made payable to "State of Rhode Island".

Attachment 1



State of Rhode Island and Providence Plantations
Department of State - Business Services Division

Certificate of Authority
FOREIGN Corporation

→ Filing Fee: \$310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is:		
CROCKER COMMUNICATIONS, INCORPORATED		
2. It is incorporated under the laws of:		
Massachusetts		
3. The name, if different, which it elects to use in Rhode Island is:		
(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island: <hr/>		
(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application: <hr/>		
4. The date of its incorporation is: <u>7/3/1997</u>		
And the period of its duration is: CHECK ONLY ONE BOX		
<input checked="" type="checkbox"/> Perpetual (on-going) <input type="checkbox"/> Date certain for dissolution _____		
5. The address of its principal office is:		
101 Munson Street, Greenfield, MA 01301		
6. The name and address of the initial registered agent/office of in Rhode Island:		
Agent Name		
National Corporate Research, Ltd.		
Street Address (NOT a P.O. Box)		
222 Jefferson Boulevard,		
City/Town	State	Zip Code
Warwick	RHODE ISLAND	02888

2017 JAN 26 AM 11:51
 R.I. DEPT. OF STATE

FILED

JAN 26 2017

BY [Signature]
 11:51

MAIL TO:
 Division of Business Services
 148 W. River Street, Providence, Rhode Island 02904-2616
 Phone: (401) 222-3040
 Website: www.sos.ri.gov

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Telecommunications

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS
Matthew S. Crocker	26 Scotland Road, Hatfield, MA 01038
James B. Crocker	27 Hillcrest Drive, Bernardston, MA 01337

Check the box to indicate an attachment.

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT	Matthew S. Crocker	26 Scotland Road, Hatfield, MA 01038
VICE PRESIDENT	James B. Crocker	27 Hillcrest Drive, Bernardston, MA 01337
TREASURER	Matthew S. Crocker	26 Scotland Road, Hatfield, MA 01038
SECRETARY	Matthew S. Crocker	26 Scotland Road, Hatfield, MA 01038

Check the box to indicate an attachment.

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
20,000	Common		No par value

10. (a) Estimate, in dollars, the value of all property to be owned by the corporation for the following year, wherever located:

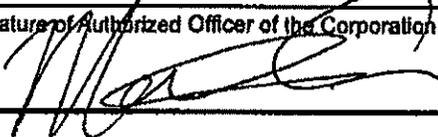
\$ 656,000

(b) Estimate, in dollars, the value of the corporation's property to be located within Rhode Island during the following year:

\$ 0

(c) Estimate, as a percentage, the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. Note: Divide (10b) by (10a) and multiply by 100 to obtain the percentage.

0 %

11. (a) Estimate, in dollars, the gross amount of business to be transacted by the corporation during the following year. <div style="text-align: center;">\$ <u>3,200,000</u></div>	(b) Estimate, in dollars, the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year. <div style="text-align: center;">\$ <u>8400</u></div>
(c) Estimate, as a percentage, the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. <i>Note: Divide (11b) by (11a) and multiply by 100 to obtain the percentage.</i> <div style="text-align: center;"><u>0.26</u> %</div>	
12. This application must be accompanied by a Certificate of Good Standing/Letter of Status issued by the proper officer of the state or country under the laws of which it is incorporated that is dated within 60 days of the filing of this document.	
13. Date when the Certificate of Authority will be effective: CHECK ONLY ONE BOX	
<input checked="" type="checkbox"/> Date received (Upon filing)	
<input type="checkbox"/> Later effective date (Date must be no more than 90 days from the day of filing) _____	
<i>Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.</i>	
Type or Print Name of Authorized Officer <div style="text-align: center;">Matthew S. Crocker</div>	Date <div style="text-align: center;">1/23/2017</div>
Signature of Authorized Officer of the Corporation  <div style="text-align: right; margin-top: 10px;">SIGN DOCUMENT HERE</div>	

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email corporations@sos.ri.gov.



The Commonwealth of Massachusetts
Secretary of the Commonwealth
State House, Boston, Massachusetts 02133

William Francis Galvin
Secretary of the
Commonwealth

Date: January 24, 2017

To Whom It May Concern :

I hereby certify that according to the records of this office,

CROCKER COMMUNICATIONS, INCORPORATED

is a domestic corporation organized on **July 03, 1997** , under the General Laws of the
Commonwealth of Massachusetts. I further certify that there are no proceedings presently pend-
ing under the Massachusetts General Laws Chapter 156D section 14.21 for said corporation's
dissolution; that articles of dissolution have not been filed by said corporation; that, said cor-
poration has filed all annual reports, and paid all fees with respect to such reports, and so far as
appears of record said corporation has legal existence and is in good standing with this office.

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In testimony of which,
I have hereunto affixed the
Great Seal of the Commonwealth
on the date first above written.

William Francis Galvin

Secretary of the Commonwealth

Certificate Number: 17010395590

Verify this Certificate at: <http://corp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx>

Processed by:



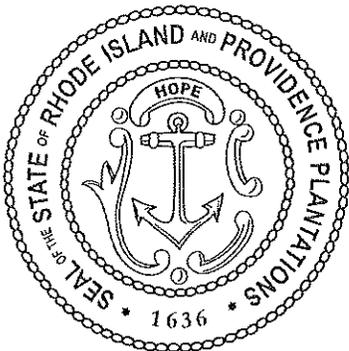
State of Rhode Island and Providence Plantations
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly executed in
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as
amended, has been filed in this office on this day:

January 26, 2017 11:51 AM

A handwritten signature in black ink, appearing to read "Nellie M. Gorbea".

Nellie M. Gorbea
Secretary of State



Attachment 2

Crocker Communications, Inc.
Balance Sheet
As of December 31, 2017

Dec 31, 17

ASSETS

Current Assets

Checking/Savings

107 · Petty Cash (Petty Cash)	342.24
108 · CTEL - COOP	253.54
114 · Gfld Coop - Payroll Account (Gfld Coop - Payroll Account)	80,261.54
1440 · Gfld Coop - Business Account (Gld Coop Business Account)	47,966.59

Total Checking/Savings 128,823.91

Accounts Receivable

1200 · Accounts Receivable - N/E	159,125.89
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Total Accounts Receivable 159,125.89

Other Current Assets

2853 · GSA - CrockerCom Tax Escrow Acc	702.25
290 · Benefit Exp. due from Employee	1,670.29
123 · PrePaid Rent/Security Deposit	4,168.09
2201 · N/R - Matt	93,709.86
120 · Pre Paid Insurance	13,265.60
125 · Pre Paid Expenses (pre paid expenses)	180,101.45
200 · A/R (Accounts Receivable)	498,018.60
201 · Inventory (Inventory)	43,563.05
205 · N/R -CCC (Notes Receivable)	150.00
206 · MSC - N/R for A/P	885.10
2090 · N/R - JSC (James Crocker)	21,294.47
2200 · N/R - RMJ	2,721.93

Total Other Current Assets 860,250.69

Total Current Assets 1,148,200.49

Fixed Assets

3127 · Accum Depr - Leased Eq Voice	-77,569.95
3126 · Leased Equipment - Voice	84,878.17
3022 · Accum Depr - Leasehold Springfi	-51,597.80
3021 · Leasehold Improve - Springfiel	126,418.30
3009 · Accum Depr - CLEC Equip.	-970,648.18
3008 · CLEC Equipment	1,012,957.15
3006 · Accum Depr Colocation Equip	-1,097,039.72
3005 · Colocation Equipment	1,158,613.86
3122 · Leased Eqpt - Accum Depr (Accumulated Depreciation of leased equipment)	-2,451.00
3121 · Leased Equipment	2,450.81
3145 · Accum Depr - N/E Equipment	-80,842.08
3140 · Network/Electrical Fixed Assets	98,343.66
3165 · Accum Depr - SPA Equipment	-47,296.00
3160 · The Spa - Equipment	47,296.00
3000 · Furniture/Fixtures (Furniture & Fixtures)	63,347.25
3010 · Depr. Furn/Fixt (Res.Depr.Furn & Fixt.)	-63,001.65
3020 · LeaseHold Improvements (Leasehold improvements)	25,692.72
3030 · Accum Depr -Leasehold Improveme (Accum Depr - Leasehold Improv)	-10,497.20

Crocker Communications, Inc.
Balance Sheet
As of December 31, 2017

	<u>Dec 31, 17</u>
3050 · Vehicles (Vehicles)	237,501.75
3060 · Accum. Depr. Vehicles (Vehicles - Depreciation)	-199,578.21
3100 · TAS Equipment/Software (TAS Equipment)	425,569.01
3110 · Res. Depr. Equipment (Res.Depr.Equipment)	-417,553.54
3115 · Accum Depr - Capital Lease (Accum Depr - Capital Lease)	-47,183.36
3120 · Internet Equipment/Software (Internet Equipt.)	1,681,660.04
3125 · Captial Lease - Internet Equipt (Capital Lease - Internet Equi)	47,183.36
3130 · Internet Equipt. Depr. (313 - Internet Equipt. Depr.)	-1,475,573.43
Total Fixed Assets	471,079.96
Other Assets	
3250 · Goodwill - The SPA	5,000.00
3260 · Accum Depr - Goodwill - SPA	-4,276.74
320 · Goodwill (Goodwill)	8,367.00
Total Other Assets	9,090.26
TOTAL ASSETS	<u>1,628,370.71</u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
4000 · Accounts Payable (A/P)	263,735.99
Total Accounts Payable	263,735.99
Credit Cards	
423 · American Airlines AAdvantage	2,557.72
4012 · American Express - Credit Card (American Express Credit Card)	82,910.27
4011 · Home Depot	23.34
404 · Bank of America (Bank of America Credit Card)	0.00
408 · Elan Financial Services	880.24
Total Credit Cards	86,371.57
Other Current Liabilities	
4892 · NY - Taxes and Surcharges	4.22
4891 · RI - Taxes and Surcharges Due	479.26
4890 · MD - Taxes and surcharges	15.70
4851 · FUSF	15,532.00
4173 · N/P - Jamie Crocker	2,997.93
4880 · Regulatory Fees	-59.51
4172 · N/P - Matthew Crocker	33,467.39
4501 · Employee Elective Payroll Ded	586.57
419 · Ford Credit - F150 loan	9,156.00
4171 · N/P- Regis M Johnston (Short Term Advance)	15,263.45
203 · Advanced Billing TAS (Advanced billing TAS)	39,723.09
204 · Advanced Billing INT (Advanced billing - INT)	180,789.79
2120 · MtWashingtonCollectedFees	22,653.30
405 · Current Maturities LTD	373,300.00
450 · Employee w/Federal (Employee w/Federal)	-65.70
452 · Employee w/FICA (Employee w/FICA)	238.18

Crocker Communications, Inc.

Balance Sheet

As of December 31, 2017

	<u>Dec 31, 17</u>
4852 · E-911 (E911 Fees)	333.43
4680 · Accrued Interest - GCB	1,151.04
470 · Accrued Taxes (accrued taxes)	402.57
472 · Accrued Payroll (Accrued Payroll)	48,892.09
4800 · Sales Taxes Due	-332.75
4850 · Sales/Use Tax on Svcs (Sales Tax)	2,050.86
4860 · Use Tax Payable	<u>6,689.86</u>
Total Other Current Liabilities	<u>753,268.77</u>
Total Current Liabilities	1,103,376.33
Long Term Liabilities	
4162 · GFLD Coop 2009 Refinance - CTEL (GFLD Coop 2009 Refinance 909671382)	44,838.22
4161 · Greeefield Coop Loan 909732605	24,036.50
4300 · Greenfield Coop - LOC 909671431 (2009 new line of credit)	50,000.00
4140 · 2009 Refinance 909671481	21,552.72
406 · Element Financial - Juniper (Juniper equipment)	193,554.28
402 · Lease Payable - United Rentals (Lease Payable - United Rentals)	17,537.02
412 · Note Payable - Chrysler Capital (Jeep)	4,689.45
415 · 2016 Note Payable (General Company Note)	676,853.03
420 · Less CM LTD	<u>-373,300.00</u>
Total Long Term Liabilities	<u>659,761.22</u>
Total Liabilities	1,763,137.55
Equity	
500 · Common Stock (Stock)	24,242.19
501 · Paid in Capital	73,627.81
503 · Dividend - Regis M. Johnston	-10,887.72
504 · Dividend - Matthew Crocker	-37,146.60
506 · Dividend - James Crocker	-16,011.48
525 · S Corp - Retained Earnings	-529,300.02
Net Income	<u>360,708.98</u>
Total Equity	<u>-134,766.84</u>
TOTAL LIABILITIES & EQUITY	<u><u>1,628,370.71</u></u>