RIPUC Use Only	GIS Certification #:
Date Application Received://	
Date Review Completed:///	Pending
Date Commission Action: / /	
Date Commission Approved: / /	

#### RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

The Standard Application Form

Required of all Applicants for Certification of Eligibility of Renewable Energy Resource
(Version 8 – December 5, 2012)

#### STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISSION

Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

#### NOTICE:

When completing this Renewable Energy Resources Eligibility Form and any applicable Appendices, please refer to the State of Rhode Island and Providence Plantations Public Utilities Commission Rules and Regulations Governing the Implementation of a Renewable Energy Standard (RES Regulations, Effective Date: January 1, 2006), and the associated RES Certification Filing Methodology Guide. All applicable regulations, procedures and guidelines are available on the Commission's web site: <a href="www.ripuc.org/utilityinfo/res.html">www.ripuc.org/utilityinfo/res.html</a>. Also, all filings must be in conformance with the Commission's Rules of Practice and Procedure, in particular, Rule 1.5, or its successor regulation, entitled "Formal Requirements as to Filings."

- Please complete the Renewable Energy Resources Eligibility Form and Appendices using a typewriter or black ink.
- Please submit one original and three copies of the completed Application Form, applicable Appendices and all supporting documentation to the Commission at the following address:

Rhode Island Public Utilities Commission Attn: Luly E. Massaro, Commission Clerk 89 Jefferson Blvd Warwick, RI 02888

In addition to the paper copies, electronic/email submittals are required under Commission regulations. Such electronic submittals should be sent to RES@puc.ri.gov.

- In addition to filing with the Commission, Applicants are required to send, electronically or electronically and in paper format, a copy of the completed Application including all attachments and supporting documentation, to the Division of Public Utilities and Carriers and to all interested parties. A list of interested parties can be obtained from the Commission's website at www.ripuc.org/utilityinfo/res.html.
- Keep a copy of the completed Application for your records.
- The Commission will notify the Authorized Representative if the Application is incomplete.
- Pursuant to Section 6.0 of the RES Regulations, the Commission shall provide a thirty (30) day period for public comment following posting of any administratively complete Application.
- Please note that all information submitted on or attached to the Application is considered to be a public record unless the Commission agrees to deem some portion of the application confidential after consideration under section 1.2(g) of the Commission's Rules of Practice and Procedure.
- In accordance with Section 6.2 of the RES Regulations, the Commission will provide prospective reviews for Applicants seeking a preliminary determination as to whether a facility would be eligible prior to the formal certification process described in Section 6.1 of the RES Regulations. Please note that space is provided on the Form for applicant to designate the type of review being requested.
- Questions related to this Renewable Energy Resources Eligibility Form should be submitted in writing, preferably via email and directed to: Luly E. Massaro, Commission Clerk at <u>RES@puc.ri.gov</u>.

#### **SECTION I: Identification Information**

.1	Name of Generation Unit (sufficient for full and unique identification):  Comtram Cable Plant
.2	Type of Certification being requested (check one):
	□ √ Standard Certification □ Prospective Certification (Declaratory Judgment)
.3	This Application includes: (Check all that apply) <sup>1</sup>
	☐ APPENDIX A: Authorized Representative Certification for Individual Owner or Operator
	☐ √APPENDIX B: Authorized Representative Certification for Non-Corporate Entities Other Than Individuals
	□ APPENDIX C: Existing Renewable Energy Resources
	☐ APPENDIX D: Special Provisions for Aggregators of Customer-sited or Off-grid Generation Facilities
	☐ APPENDIX E: Special Provisions for a Generation Unit Located in a Control Area Adjacent to NEPOOL
	☐ APPENDIX F: Fuel Source Plan for Eligible Biomass Fuels
.4	Primary Contact Person name and title:Zachary Rubenstein: Senior Analyst
.5	Primary Contact Person address and contact information:  Address:1 Park Ave. Old Greenwich CT 06870
	Phone:(203) 698-0090 Fax:(203) 637-8936
	Email:Zachary.Rubenstein@altuspower.com
.6	Backup Contact Person name and title:Abhi Parmar: Analyst
.7	Backup Contact Person address and contact information:  Address:1 Park Ave. Old Greenwich CT 06870
	Phone:(203) 698-0090 Fax:(203) 637-8936 Email: Abhi.Parmar@altuspower.com
	LinanAbin.t at mat wattuspower.com

<sup>&</sup>lt;sup>1</sup> Please note that all Applicants are required to complete the Renewable Energy Resources Eligibility Standard Application Form and all of the Appendices that apply to the Generation Unit or Owner or Operator that is the subject of this Form. Please omit Appendices that do not apply.

1.8	Name and Title of Authorized Representative ( <i>i.e.</i> , the individual responsible for certifying the accuracy of all information contained in this form and associated appendices, and whose signature will appear on the application): Lars Norell: Manager
	Appendix A or B (as appropriate) completed and attached? □ √ Yes □ No □ N/A
1.9	Authorized Representative address and contact information: Address:1 Park Ave. Old Greenwich CT 06870
	Phone:(203) 698-0090 Fax:(203) 637-8936 Email:Lars.Norell@altuspower.com
1.10	Owner name and title:Altus Power Funds RI I, LLC
1.11	Owner address and contact information: Address:1 Park Ave. Old Greenwich CT 06870
	Phone:(203) 698-0090
1.12	Owner business organization type (check one):  ☐ Individual ☐ Partnership ☐ Corporation ☐ √ Other:Limit Liability Company
1.13	Operator name and title: Altus Power Funds RI I, LLC
1.14	Operator address and contact information: Address:1 Park Ave. Old Greenwich CT 06870
	Phone:(203) 698-0090 Fax:(203) 637-8936 Email:Lars.Norell@altuspower.com
1.15	Operator business organization type (check one):  ☐ Individual ☐ Partnership ☐ Corporation ☐ ✓ Other:Limited Liability Company

# SECTION II: Generation Unit Information, Fuels, Energy Resources and Technologies

2.1	ISC	P-NE Generation Unit Asset Identification Number or NEPOOL GIS Identification Number (either or both as applicable): Pending
2.2	Gene	ration Unit Nameplate Capacity:49838 MW
2.3	Maxi	mum Demonstrated Capacity:400 MW
2.4	the G	e indicate which of the following Eligible Renewable Energy Resources are used by eneration Unit: (Check ALL that apply) – per RES Regulations Section 5.0 Direct solar radiation ne wind to even to for the latent heat of the ocean ne heat of the earth mall hydro facilities iomass facilities using Eligible Biomass Fuels and maintaining compliance with all pects of current air permits; Eligible Biomass Fuels may be co-fired with fossil els, provided that only the renewable energy fraction of production from multi-fuel cilities shall be considered eligible.  It is shall be considered eligible.
2.5		box checked in Section 2.4 above is "Small hydro facilities", please certify that the y's aggregate capacity does not exceed 30 MW. – per RES Regulations Section  — Check this box to certify that the above statement is true  — N/A or other (please explain)
2.6	facilit	box checked in Section 2.4 above is "Small hydro facilities", please certify that the y does not involve any new impoundment or diversion of water with an average cy of twenty (20) parts per thousand or less. – per RES Regulations Section 3.32  — Check this box to certify that the above statement is true  — N/A or other (please explain)
2.7	-	checked one of the Biomass facilities boxes in Section 2.4 above, please respond following:
	A.	Please specify the fuel or fuels used or to be used in the Unit:
	В.	Please complete and attach Appendix F, Eligible Biomass Fuel Source Plan.  Appendix F completed and attached?    Yes  No  N/A

2.8		ration Unit been o s renewable portf	certified as a Renewable olio standard?	Energy Resour	ce for eli	gibility in
	☐ Yes order.	□√No	If yes, please attach	a copy of that	state's ce	rtifying
	Copy of Stat	e's certifying ord	er attached?	☐ Yes	□ No	□ √ N/A
SEC'	TION III: Con	mmercial Opera	tion Date			
Pleas	e provide docu	mentation to supp	port all claims and respon	nses to the follo	owing que	estions:
3.1	Date Generat	ion Unit first ente	ered Commercial Operat	ion: <b>07/23/201</b> 3	3 at the si	te.
	verification, after Decemb	such as the utility	ate is after December 31 valog or metering data, she is needed in order to verurce.	howing that the	meter fir	st spun
	Documentati	on attached?		□ √ Yes	s 🗆 No	□ N/A
3.2	Is there an E	xisting Renewabl	e Energy Resource locat	ted at the site of	f Generati	on Unit?
	☐ Yes ☐ √No					
3.3			to question 3.1 is earlied question 3.2 above, plea			
	Appendix C	completed and at	tached?	☐ Yes	□ No	□√ N/A
3.4		ny part of the Gen etricity at any other	eration Unit used on or ler site?	before Decemb	er 31, 199	97 to
	□ Yes □ √No					
3.5	equipment use	ed and the address	on 3.4 above, please spe s where such power proof f the space provided is n	duction equipm		
SEC	ΓΙΟΝ IV: Me	tering				
4.1	that apply): □ ISO-NE	Market Settleme	ation Unit's electrical en ent System POOL GIS Administrato		verified (	check all

	Other (please specify below and see Appendix D: Eli	gibility for	Aggregat	ions):
	Appendix D completed and attached?	☐ Yes	□ No	□√N/A
SECT	ION V: Location			
5.1	Please check one of the following that apply to the Gener	ration Unit:		
	<ul> <li>□ √ Grid Connected Generation</li> <li>□ Off-Grid Generation (not connected to a utility transful Customer Sited Generation (interconnected on the en electricity meter in such a manner that it displaces all consumption of the end-use customer)</li> </ul>	d-use custo	mer side o	of the retail
5.2	Generation Unit address:1 Ralco Way, Cumberlan	d, RI 02864	1	
			, , , , , , , , , , , , , , , , , , ,	
5.3	Please provide the Generation Unit's geographic location	informatio	n:	
	A. Universal Transverse Mercator Coordinates: (4	1.89955,-7	1.384751)	)
5.4	B. Longitude/Latitude: (-71W 23' 1.5498"/ 41N 53 The Generation Unit located: (please check the appropria		)	
	<ul> <li>□ √ In the NEPOOL control area</li> <li>□ In a control area adjacent to the NEPOOL control are</li> <li>□ In a control area other than NEPOOL which is not ad area ← If you checked this box, then the generator do therefore, please do not complete/submit this form.</li> </ul>	jacent to the		
5.5	If you checked "In a control area adjacent to the NEPOO above, please complete Appendix E.	L control ar	ea" in Se	ction 5.4
	Appendix E completed and attached?	☐ Yes	□ No	□√N/A

# **SECTION VI: Certification**

6.1	Please attach documentation, using one of the applicable authority of the Authorized Representative indicated in Sthis Application.		-	_
	Corporations			
	If the Owner or Operator is a corporation, the Authorized shall provide either:	l Representa	ative	
	(a) Evidence of a board of directors vote granting author Representative to execute the Renewable Energy Res	•		
	(b) A certification from the Corporate Clerk or Secretary Authorized Representative is authorized to execute the Eligibility Form or is otherwise authorized to legally matters.	ne Renewab	le Energy	Resources
	Evidence of Board Vote provided?	☐ Yes	□ No	□ √ N/A
	Corporate Certification provided?	☐ Yes	☐ No	□√N/A
	<u>Individuals</u>			
	If the Owner or Operator is an individual, that individual attach APPENDIX A, or a similar form of certification for Operator, duly notarized, that certifies that the Authorize authority to execute the Renewable Energy Resources El	om the Ow d Represent	ner or tative has	
	Appendix A completed and attached?	☐ Yes	□ No	□ √ N/A
	Non-Corporate Entities			
	(Proprietorships, Partnerships, Cooperatives, etc.) If the individual or a corporation, it shall complete and attach A resolution indicating that the Authorized Representative authority to execute the Renewable Energy Resources El legally bind the non-corporate entity in like matters.	APPENDIX named in Se	B or exection 1.8	cute a has
	Appendix B completed and attached?	□√Yes	□ No	□ N/A

## 6.2 Authorized Representative Certification and Signature:

I hereby certify, under pains and penalties of perjury, that I have personally examined and am familiar with the information submitted herein and based upon my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment. My signature below certifies all information submitted on this Renewable Energy Resources Eligibility Form. The Renewable Energy Resources Eligibility Form includes the Standard Application Form and all required Appendices and attachments. I acknowledge that the Generation Unit is obligated to and will notify the Commission promptly in the event of a change in a generator's eligibility status (including, without limitation, the status of the air permits) and that when and if, in the Commission's opinion, after due consideration, there is a material change in the characteristics of a Generation Unit or its fuel stream that could alter its eligibility, such Generation Unit must be re-certified in accordance with Section 9.0 of the RES Regulations. I further acknowledge that the Generation Unit is obligated to and will file such quarterly or other reports as required by the Regulations and the Commission in its certification order. I understand that the Generation Unit will be immediately de-certified if it fails to file such reports.

Signature of Authorized Representative:

CI	GN	Λ7	כוו זיי	D.
	IL TIN	$\boldsymbol{H}$	. U.K	т.

DATE:

8/6/13

(Title)

GIS Certification #:
Pending

## APPENDIX B

# (Required When Owner or Operator is a Non-Corporate Entity Other Than An Individual)

# STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISION

## RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

RESOLUTION OF AUTHORIZATION	
Resolved: thatLars Norell	, named in
Section 1.8 of the Renewable Energy Resources Eligi	bility Form as Authorized Representative,
is authorized to execute the Application on the behalf	ofAltus Power Funds RI I, LLC
, the Owner or Operator of the Generation U	Jnit named in section 1.1 of the
Application.	
SIGNATURE!	DATE:8/6/13
State:CT	
County:Fairfield	
(TO BE COMPLETED BY NOTARY) I,	of the above named <u>Las Nocell</u> , o execute this resolution, and the individual
SIGNATURE:	DATE: 8/6/13
My commission expires on:	NOTARY SEAL:
AM	Y YU

NO TARY PUBLIC MY COMMISSION EXPIRES JAN. 31, 2016



Sing  All MR I WR 2 WR 2 WR 2 WR 4  SO WWh  GO WWh  John Heb War Agr May Jun Jul Aug Sep Oct Nov Dec  Correct Tractor Bridge Pace  C	> Welcome	WD Attleborn	
## WAT   WR 2   WR 4   WR 4   WR 5   WR 4   WR 4   WR 4   WR 1   WR 2   WR 5   WR 4   WR 4   WR 5   WR 4   WR 4   WR 5   WR 4   WR 4   WR 5   WR 4   WR 6	vington Housing	W Attended	
20 MWh  60 MWh  60 MWh  10 MWh  10 MWh  10 MWh  110 MWh	yrıt <b>y</b>		
50 MWh 30 WWh 30 WWh 30 WWh 30 WWh 20 WWh 20 WWh 20 WWh 20 WWh 40 WWh 30 Feb Mar Apr May Jun Jul Aug Sep Oct Correct Driver Efficient Power Date (1.42 kW Specific Yield Steps (1.43 kW Specific Yield Steps (1.44 kW W W) Specific Yield Yield Y	ııc	Ali WR 1 WR 2 WR 3 W	
10 MWh   So Wwh   So Wwenterfall formation   So Wwenter	? Attleboro	(4) 234V	
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40 MWh  20 MWh  20 MWh  10 MWh  10 MWh  10 MWh  11 D MWh  12 MWh  12 MWh  13 MWh  14 MWh  15 MWh  16 Mar Apr May Jun Jul Aug Sep Oct  16 Gariert Threater Information  17 MWh  17 MWh  18 Specific Vield  17 MWh  17 Shur Specific Vield  18			
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Jan Feb Mar Apr May Jun Jul Aug Sep Oct Current-Invester Information Fed-in Power Ped Generator Power Ped I.39 kW Spering Craft Maximum Value Firor Status Zx Idla, Zx Power track, DATA   Figure Sx Idla, Zx Power track, DATA   Targat (cum, January Targat (cum, January) Targat (cum, January) Targat (cum, January) Targat (cum, January)		First month of	
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Jun Jul Aug Sep Oct Year Revenue Grade Meter Vield Specific Vield Maximum Vielue Target (cum.) Actual Value (21.4 kwh/kwp) Actual Value (21.4 kwh/kwp)			
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Actes value (Athe Avoided Contemissions) at Total amount of avoided Contemissions, 4:24 L		Vield Specific Vield Maximum Value Targe (Cum.)	
		Actes value (21th Known) 250-25 7: Totall amount of avoided CO: emissions 421 t	