

May 27, 2015

Via Electronic Mail/Regular Mail

Ms. Luly Massaro, Clerk
Rhode Island Public Utilities Commission
89 Jefferson Blvd
Warwick, R.I. 02888

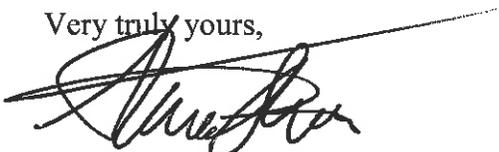
Re: Docket 4320: City of Woonsocket, Water Division – Commission Information Requests – Compliance Reports

Dear Luly:

On behalf of the City of Woonsocket, Water Division enclosed please find one (1) original and (3) copies of data responses to these Information Requests in the above docket issued May 6, 2015.

Please let me know if you have any questions.

Very truly yours,



ALAN M. SHOER

Enclosures

**STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
PUBLIC UTILITIES COMMISSION**

IN RE: CITY OF WOONSOCKET :
WATER DIVISION APPLICATION :
TO CHANGE RATES :
DOCKET NO. 4320

**WOONSOCKET WATER DIVISION'S RESPONSES TO
COMMISSIONS INFORMATION REQUESTS COMPLIANCE REPORTS
(Issued May 6, 2015)**

7-1. The semi-annual report for the period ending 6/30/13 is missing. Please provide.

Response 7-1. See attached.

Date: May 27, 2015

Respondent: David G. Bebyn, CPA

TO: R.I. Public Utilities Commission
FROM: Woonsocket Water Division
Woonsocket, R.I.

Semi-Annual Filing Of Financial And Operating Data For The Six-Month Period Ending:

Original
6/30/2013

Signature

Date

Title: _____

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Cost Of Service / Operating Results

For the 12 -month period ended: 6/30/2013

(Note: Please insert whole dollar amounts, do not record cents.)

Revenues

Water Sales	\$7,403,678
Interest Income	\$ 69,645
Miscellaneous Income	\$ 43,392
Retainage on Surcharge	\$ 20,617
Total Revenue	<u>\$7,537,332</u>

Expenditures

Operations/Maintenance:

Source of Supply/Purchased Water \$ 2,044

Pumping Operations:

Water Treatment \$ 75,220

Transmission & Distribution \$ 72,848

Customer Account \$ 44,133

Administrative & General \$ 978,077

Total Operations \$ 1,172,323

Debt Service--Principal \$ 1,084,000

--Interest \$ 484,112

(Reflects Disbursements From Restricted Account)

Capital Expenses (Funding Restricted Accounts) \$ 992,868

Property Taxes \$ 163,174

Payment/Charges From Affiliates \$ -

Salaries \$1,378,380

Fixed & General \$1,501,074

Total Expenditures \$6,775,931

Surplus / (Deficit) From Operations \$ 761,401

NOTE: CAPITAL EXPENSES INCLUDE FUNDING FOR ALL RESTRICTED ACCOUNTS
EXCEPT DEBT SERVICE

Revenue Summary

	<u>FY2013</u>		<u>FY2012</u>	
	<u>Current Reporting Year</u>		<u>Prior Fiscal Year</u>	
	<u>Jan - Jun</u>		<u>Jan - Jun</u>	
	<u>Six Mos</u>	<u>Year</u>	<u>Six Mos</u>	<u>Year</u>
Retail Sales	\$ 3,507,793	\$ 7,277,503	\$ 3,404,943	\$ 6,795,672
Fire Service:				
Public	\$ -	\$ 21,359	\$ 283,853	\$ 305,212
Private	\$ 55,048	\$ 104,816	\$ 40,774	\$ 76,045
Public Authorities				
Interest Income:				
Restricted	\$ 9	\$ 58	\$ 251	\$ 635
Non-Restricted	\$ -	\$ -	\$ 35	\$ 182
Late Charges	\$ 26,816	\$ 69,645	\$ 67,405	\$ 132,213
Misc. Services	\$ 0	\$ 43,392	\$ 19,380	\$ 34,890
Reimbursed Expenses				
Total Revenues	<u>\$ 3,589,666</u>	<u>\$ 7,516,773</u>	<u>\$ 3,816,639</u>	<u>\$ 7,344,847</u>
<u>Other Funding Sources</u>				
Loans From Affiliates				
Short-term Loan Proceed	\$ -	\$ -	\$ -	\$ -
Sale Of Properties				
Grants	\$ -	\$ -	\$ -	\$ -
Surcharge Revenues	\$ 9,599	\$ 20,617	\$ 10,095	\$ 20,861
Water Quality Protection Funds				
Total - Other Funding	<u>\$ 9,599</u>	<u>\$ 20,617</u>	<u>\$ 10,095</u>	<u>\$ 20,861</u>

Expenditure / Debt Repayment Data

List below all disbursements which are not recorded on the 'Cost Of Service / Operating Expense' Schedule; this would include items such as repayment of loans to affiliates, repayment of short-term borrowing, acquisitions from Water Quality Protection Funds, etc.

Nothing to report.

Debt Service / Capital Lease Payments

	<u>FY2013 Jan-Jun Period</u>	<u>FY2013 Total Fiscal Year</u>	<u>FY2012 Prior Fiscal Year</u>	<u>FY2014 Next Fiscal Year</u>
Bond Issues¹:				
<u>General Obligation Bond</u>				
<u>2.7m Dated 7-15-88</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
<u>7.3% Due 6-30-10</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
<u>Water Bonds</u>				
<u>6.4m Dated 3-1-94</u>	<u>\$ 460,000</u>	<u>\$ 460,000</u>	<u>\$ 445,000</u>	<u>\$ 480,000</u>
<u>5.06% Due 3-1-14</u>	<u>\$ 22,500</u>	<u>\$ 45,000</u>	<u>\$ 60,575</u>	<u>\$ 24,000</u>
<u>RI Clean Water Finance Agency:</u>				
<u>\$10M IFR LOAN</u>	<u>\$ -</u>	<u>\$ 450,000</u>	<u>\$ 435,000</u>	<u>\$ 465,000</u>
<u>Interest</u>	<u>\$ 134,001</u>	<u>\$ 275,583</u>	<u>\$ 290,255</u>	<u>\$ 259,956</u>
<u>\$4M IFR LOAN</u>	<u>\$ -</u>	<u>\$ 174,000</u>	<u>\$ 170,000</u>	<u>\$ 180,000</u>
<u>Interest</u>	<u>\$ 42,972</u>	<u>\$ 88,119</u>	<u>\$ 97,317</u>	<u>\$ 83,586</u>
<u>\$4.4M IFR LOAN</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,000</u>
<u>Interest</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
<u>ADMINISTRATION</u>	<u>\$ 49,425</u>	<u>\$ 75,410</u>	<u>\$ 45,033</u>	<u>\$ 62,301</u>
Totals	<u>\$ 708,898</u>	<u>\$ 1,568,112</u>	<u>\$1,543,180</u>	<u>\$ 1,555,843</u>

¹ List debt service payments / commitments separately for each bond issue or capital lease; show year of issue, amount, rate and date of final payment in this column.

Disclose source of funding entity, initial and final date of lease payments, imputed interest rates, etc.

Property Tax Expense Summary

<u>Municipality</u>	<u>Jan - Jun Expense This Period</u>	<u>FY2012 Expense Fiscal Year</u>	<u>FY2011 Prior Fiscal Year</u>
<u>Albion Fire</u>	\$ -	\$ 768	\$ 744
<u>Blackstone, MA</u>	\$ 17,433	\$ 19,664	\$ 18,404
<u>Lincoln, RI</u>	\$ 3,746	\$ 15,056	\$ 15,324
<u>Manville Fire</u>	\$ -	\$ 386	\$ 386
<u>North Smithfield, RI</u>	\$ 28,061	\$ 112,243	\$ 112,699
<u>Smithfield, RI</u>	\$ 7,529	\$ 15,057	\$ 14,897
Totals	\$ 56,769	\$ 163,174	\$ 162,454

Personnel Data

For the current fiscal year, record the number authorized and employed as of the last week of the month:

	<u>July</u>	<u>August</u>	<u>September</u>	<u>October</u>	<u>November</u>	<u>December</u>
Authorized	36	36	36	36	36	36
Full Time	26	26	28	28	29	29
Part Time						
Temporary	1	1	1	1	1	1
Injured	2	2	2	2	2	2
Totals	29	29	31	31	32	32

	<u>January</u>	<u>February</u>	<u>March</u>	<u>April</u>	<u>May</u>	<u>June</u>
Authorized	36	36	36	36	36	36
Full Time	29	29	26	26	26	26
Part Time						
Temporary	1	1	1	1	1	1
Injured	2	2	2	2	2	2
Totals	32	32	29	29	29	29

- Notes:
- Sep-12 Meter Foreperson position filled
 - Sep-12 Meter Reader position vacant
 - Sep-12 Heavy Equipment Operator position filled
 - Sep-12 Utility Person position vacant
 - Sep-12 Utility Person position vacant
 - Sep-12 Meter Reader position filled
 - Nov-12 Meter Reader position filled
 - Mar-13 Labor Foreperson position vacant
 - Mar-13 Watershed Inspector position vacant
 - Mar-13 water Treatment Plant operator position vacant

Cash & Investments Account Data

For the current fiscal year, report balances for all cash and investments accounts; report separately balances for accounts restricted by PUC orders, grants, etc.

<u>Account Description</u>	<u>July 1</u>	Balances at: <u>Dec 31</u>	<u>June 30</u>
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Water Revenue Fund

This Data is no longer provided with the Semi-Annual report. This data is now provided on Woonsocket's Quarterly Report filings. The data for the periods 1/1/13 - 6/30/13 can be found on the Mar 13 & Jun 13 Quarterly Reports.

The change in reporting requirements for Woonsocket's Annual, Semi-annual and periodic reports was the results of a meeting of Commission and Division staff along with WWD on December 11, 2012. One of the changes instituted was to replace the 4-month report with a quarterly report. Woonsocket use to file a 4- month periodic report which did not coincide with the December or June Semi-annual reports. Since the quarterly reports will now coincide with December and June ending periods this page is no longer required.

Restricted Accounts Summary

For each account restricted by PUC report and order, list the accounts separately and show account activity for current fiscal period to date of this report. If the last ('Balance') column is for date other than the end of the current reporting period insert that date above the column.

<u>Account Description</u> ³	<u>July 1</u>	<u>Funding</u>	<u>Interest</u>	<u>Expenses</u>	<u>Balance</u>
<u>Infrastructure Replacement</u> <u>Docket 4320 Funding-\$1,700,000</u>					
<u>Renewal & Replacement</u> <u>Docket 4320 Funding-\$120,000</u>					
<u>Debt Service</u> <u>Docket 4320 Funding-\$1,600,000</u>					
<u>Chemicals</u> <u>Docket 4320 Funding-\$396,000</u>					
<u>Rate Case Expense</u> <u>Docket 4320 Funding-\$65,864 no longer restricted</u>					

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**WOONSOCKET WATER DIVISION'S RESPONSES TO
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7-3. Please provide an update regarding the water treatment plant project, including, but not limited to, expenditures to date, the bid process, design status, site selection, and a future timeline.

Response 7-3. The site for the water treatment plant is anticipated to be at property acquired by the City in 2012 located on Jillson Avenue in Woonsocket.

The City has procured, pursuant to public request for proposals, the services of Burns & Levinson as legal counsel, CDM Smith as technical/engineering consultant and B&E Consulting as financial consultants in connection with the project.

Woonsocket is now proceeding with establishing a procurement process for the design, construction and operation of a new water treatment plant.

The City anticipates issuing a Request for Proposals in July, 2015. These proposals will be reviewed and selections will follow. The City anticipates signing a contract with the selected DBO proposer in early 2016.

The City anticipates that the new plant will be operational in 2019.

Date: May 27, 2015

Respondent: David G. Bebyn, CPA