

**RIPUC Use Only**

Date Application Received: \_\_\_/\_\_\_/\_\_\_  
Date Review Completed: \_\_\_/\_\_\_/\_\_\_  
Date Commission Action: \_\_\_/\_\_\_/\_\_\_  
Date Commission Approved: \_\_\_/\_\_\_/\_\_\_

GIS Certification #:  
\_\_\_\_\_

## RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

**The Standard Application Form**  
**Required of all Applicants for Certification of Eligibility of Renewable Energy Resource**  
**(Version 4 – November 7, 2006)**

**STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISSION**  
**Pursuant to the Renewable Energy Act**  
**Section 39-26-1 et. seq. of the General Laws of Rhode Island**

**NOTICE:**

When completing this Renewable Energy Resources Eligibility Form and any applicable Appendices, please refer to the State of Rhode Island and Providence Plantations Public Utilities Commission Rules and Regulations Governing the Implementation of a Renewable Energy Standard (RES Regulations, Effective Date: January 1, 2006), and the associated RES Certification Filing Methodology Guide. All applicable regulations, procedures and guidelines are available on the Commission's web site: [www.ripuc.org/utilityinfo/res.html](http://www.ripuc.org/utilityinfo/res.html). Also, all filings must be in conformance with the Commission's Rules of Practice and Procedure, in particular, Rule 1.5, or its successor regulation, entitled "Formal Requirements as to Filings."

- Please complete the Renewable Energy Resources Eligibility Form and Appendices using a typewriter or black ink.
  - Please submit one original and three copies of the completed Application Form, applicable Appendices and all supporting documentation to the Commission at the following address:  
Rhode Island Public Utilities Commission  
89 Jefferson Blvd  
Warwick, RI 02888  
Attn: Renewable Energy Resources Eligibility
- In addition to the paper copies, electronic/email submittals are required under Commission regulations. Such electronic submittals should be sent to: Luly E. Massaro, Commission Clerk at [lmassaro@puc.state.ri.us](mailto:lmassaro@puc.state.ri.us)
- In addition to filing with the Commission, Applicants are required to send, electronically or electronically and in paper format, a copy of the completed Application including all attachments and supporting documentation, to the Division of Public Utilities and Carriers and to all interested parties. A list of interested parties can be obtained from the Commission's website at [www.ripuc.org/utilityinfo/res.html](http://www.ripuc.org/utilityinfo/res.html).
  - Keep a copy of the completed Application for your records.
  - The Commission will notify the Authorized Representative if the Application is incomplete.
  - Pursuant to Section 6.0 of the RES Regulations, the Commission shall provide a thirty (30) day period for public comment following posting of any administratively complete Application.
  - Please note that all information submitted on or attached to the Application is considered to be a public record unless the Commission agrees to deem some portion of the application confidential after consideration under section 1.2(g) of the Commission's Rules of Practice and Procedure.
  - In accordance with Section 6.2 of the RES Regulations, the Commission will provide prospective reviews for Applicants seeking a preliminary determination as to whether a facility would be eligible prior to the formal certification process described in Section 6.1 of the RES Regulations. Please note that space is provided on the Form for applicant to designate the type of review being requested.
  - Questions related to this Renewable Energy Resources Eligibility Form should be submitted in writing, preferably via email and directed to: Luly E. Massaro, Commission Clerk at [lmassaro@puc.state.ri.us](mailto:lmassaro@puc.state.ri.us)

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## SECTION I: Identification Information

1.1 Name of Generation Unit (sufficient for full and unique identification): Vernon Station

1.2 Type of Certification being requested (check one):

Standard Certification     Prospective Certification (Declaratory Judgment)

1.3 This Application includes: (Check all that apply)<sup>1</sup>

APPENDIX A: Authorized Representative Certification for Individual Owner or Operator

APPENDIX B: Authorized Representative Certification for Non-Corporate Entities Other Than Individuals

APPENDIX C: Existing Renewable Energy Resources

APPENDIX D: Special Provisions for Aggregators of Customer-sited or Off-grid Generation Facilities

APPENDIX E: Special Provisions for a Generation Unit Located in a Control Area Adjacent to NEPOOL

APPENDIX F: Fuel Source Plan for Eligible Biomass Fuels

1.4 Primary Contact Person name and title: Michael E. Hachey  
Director, Eastern Commercial

1.5 Primary Contact Person address and contact information:  
Address: TransCanada Power Marketing Ltd.  
Suite 203 110 Turnpike Road  
Westborough, MA 01581

Phone: 508-871-1852 Fax: 508-898-0433

Email: [mike\\_hachey@transcanada.com](mailto:mike_hachey@transcanada.com)

1.6 Backup Contact Person name and title: Richard Schuler  
Senior Analyst

1.7 Backup Contact Person address and contact information:  
Address: TransCanada Power Marketing Ltd.  
Suite 203 110 Turnpike Road  
Westborough, MA 01581

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<sup>1</sup> Please note that all Applicants are required to complete the Renewable Energy Resources Eligibility Standard Application Form and all of the Appendices that apply to the Generation Unit or Owner or Operator that is the subject of this Form. Please omit Appendices that do not apply.

Phone: 508-871-1853 Fax: 508-898-0433  
Email: richard\_schuler@transcanada.com

1.8 Name and Title of Authorized Representative (*i.e.*, the individual responsible for certifying the accuracy of all information contained in this form and associated appendices, and whose signature will appear on the application):

Michael E. Hachey  
Director, Eastern Commercial

Appendix A or B (as appropriate) completed and attached?  Yes  No  N/A

1.9 Authorized Representative address and contact information:

Address: -as before-

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_  
Email: \_\_\_\_\_

1.10 Owner name and title: TransCanada Hydro Northeast Inc.

1.11 Owner address and contact information:

Address: Suite 203  
110 Turnpike Road  
Westborough, MA 01581

Phone: 508-871-1852 Fax: 508-898-0433  
Email: [mike\\_hachey@transcanada.com](mailto:mike_hachey@transcanada.com)

1.12 Owner business organization type (check one):

- Individual  
 Partnership  
 X Corporation  
 Other: \_\_\_\_\_

1.13 Operator name and title: TransCanada Hydro Northeast Inc.

1.14 Operator address and contact information:

Address: as before  
Phone: \_\_\_\_\_ Fax: \_\_\_\_\_  
Email: \_\_\_\_\_

1.15 Operator business organization type (check one):

Individual

Partnership

Corporation

Other: \_\_\_\_\_

**SECTION II: Generation Unit Information, Fuels, Energy Resources and Technologies**

2.1 ISO-NE Generation Unit Asset Identification Number or NEPOOL GIS Identification Number (either or both as applicable): 599

2.2 Generation Unit Nameplate Capacity: 16MW (effective during 2007; station repowering not in service until 2008)

2.3 Maximum Demonstrated Capacity: 20.79 MW

2.4 Please indicate which of the following Eligible Renewable Energy Resources are used by the Generation Unit: (Check ALL that apply) – *per RES Regulations Section 5.0*

- Direct solar radiation
- The wind
- Movement of or the latent heat of the ocean
- The heat of the earth
- X Small hydro facilities
- Biomass facilities using Eligible Biomass Fuels and maintaining compliance with all aspects of current air permits; Eligible Biomass Fuels may be co-fired with fossil fuels, provided that only the renewable energy fraction of production from multi-fuel facilities shall be considered eligible.
- Biomass facilities using unlisted biomass fuel
- Biomass facilities, multi-fueled or using fossil fuel co-firing
- Fuel cells using a renewable resource referenced in this section

2.5 If the box checked in Section 2.4 above is “Small hydro facilities”, please certify that the facility’s aggregate capacity does not exceed 30 MW. – *per RES Regulations Section 3.31*

X ← check this box to certify that the above statement is true

N/A or other (please explain) \_\_\_\_\_

2.6 If the box checked in Section 2.4 above is “Small hydro facilities”, please certify that the facility does not involve any new impoundment or diversion of water with an average salinity of twenty (20) parts per thousand or less. – *per RES Regulations Section 3.31*

X ← check this box to certify that the above statement is true

N/A or other (please explain) \_\_\_\_\_

2.7 If you checked one of the Biomass facilities boxes in Section 2.1 above, please respond to the following:

A. Please specify the fuel or fuels used or to be used in the Unit: \_\_\_\_\_

B. Please complete and attach Appendix F, Eligible Biomass Fuel Source Plan.

Appendix F completed and attached?  Yes  No  N/A







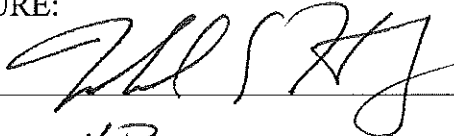


6.2 Authorized Representative Certification and Signature:

I hereby certify, under pains and penalties of perjury, that I have personally examined and am familiar with the information submitted herein and based upon my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment. My signature below certifies all information submitted on this Renewable Energy Resources Eligibility Form. The Renewable Energy Resources Eligibility Form includes the Standard Application Form and all required Appendices and attachments. I acknowledge that the Generation Unit is obligated to and will notify the Commission promptly in the event of a change in a generator's eligibility status (including, without limitation, the status of the air permits) and that when and if, in the Commission's opinion, after due consideration, there is a material change in the characteristics of a Generation Unit or its fuel stream that could alter its eligibility, such Generation Unit must be re-certified in accordance with Section 9.0 of the RES Regulations. I further acknowledge that the Generation Unit is obligated to and will file such quarterly or other reports as required by the Regulations and the Commission in its certification order. I understand that the Generation Unit will be immediately de-certified if it fails to file such reports.

Signature of Authorized Representative:

SIGNATURE:

  
V.P.

(Title)

DATE:

5/14/07

**APPENDIX C**  
**(Required of all Applicants with Generation Units at the Site of Existing  
Renewable Energy Resources)**

**STATE OF RHODE ISLAND**  
**PUBLIC UTILITIES COMMISSION**

**RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM**

Pursuant to the Renewable Energy Act  
Section 39-26-1 et. seq. of the General Laws of Rhode Island

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If the Generation Unit: (1) first entered into commercial operation before December 31, 1997; or (2) is located at the exact site of an Existing Renewable Energy Resource, please complete the following and attach documentation, as necessary to support all responses:

- C.1 Is the Generating Unit seeking certification, either in whole or in part, as a New Renewable Energy Resource?  Yes  No
- C.2 If you answered "Yes" to question C.1, please complete the remainder of Appendix C. If you answered "No" and are seeking certification entirely as an Existing Renewable Energy Resource, you do NOT need to complete the remainder of Appendix C.
- C.3 If an Existing Renewable Energy Resource is/was located at the site, has such Existing Renewable Energy Resource been retired and replaced with the new Generation Unit at the same site?  Yes  No
- C.4 Is the Generation Unit a Repowered Generation Unit (as defined in Section 3.28 of the RES Regulations) which uses Eligible Renewable Energy Resources and which first entered commercial operation after December 31, 1997 at the site of an existing Generation Unit?  Yes  No
- C.5 If you checked "Yes" to question C.4 above, please provide documentation to support that the entire output of the Repowered Generation Unit first entered commercial operation after December 31, 1997.
- C.6 Is the Generation Unit a multi-fuel facility in which an Eligible Biomass Fuel is first co-fired with fossil fuels after December 31, 1997?  Yes  No

- C.7 If you checked “Yes” to question C.6 above, please provide documentation to support that the renewable energy fraction of the energy output first occurred after December 31, 1997.
- C.8 Is the Generation Unit an Existing Renewable Energy Resource other than an Intermittent Resource (as defined in Section 3.9 and 3.14 of the RES Regulations)?  Yes  No
- C.9 If you checked “Yes” to question C.8 above, please attach evidence of completed capital investments after December 31, 1997 attributable to efficiency improvements or additions of capacity that are sufficient to, were intended to, and can be demonstrated to increase annual electricity output in excess of ten percent (10%). As specified in Section 3.22.v of the RES Regulations, the determination of incremental production shall not be based on any operational changes at such facility **not directly** associated with the efficiency improvements or additions of capacity.
- C.10 Is the Generating Unit an Existing Renewable Energy Resource that is an Intermittent Resource?  Yes  No
- C.11 If you checked “Yes” to question C.10 above, please attach evidence of completed capital investments after December 31, 1997 attributable to efficiency improvements or additions of capacity that are sufficient to, were intended to, and have demonstrated on a normalized basis to increase annual electricity output in excess of ten percent (10%). The determination of incremental production shall not be based on any operational changes at such facility **not directly** associated with the efficiency improvements or additions of capacity. In no event shall any production that would have existed during the Historical Generation Baseline period in the absence of the efficiency improvements or additions to capacity be considered incremental production. Please refer to Section 3.22.vi of the RES Regulations for further guidance.
- C.12 If you checked “Yes” to C.10, provide the single proposed percentage of production to be deemed incremental, attributable to the efficiency improvements or additions of capacity placed in service after December 31, 1997. Please provide backup information sufficient for the Commission to make a determination of this incremental production percentage.
- C.13 If you checked “no” to both C.3 and C.4 above, please complete the following:
- a. Was the Existing Renewable Energy Resource located at the exact site at any time during calendar years 1995 through 1997?  Yes  No
  - b. If you checked “yes” in Subsection (a) above, please provide the Generation Unit Asset Identification Number and the average annual electrical production (MWhs) for the three calendar years 1995 through 1997, or for the first 36 months after the Commercial Operation Date if that date is after December 31, 1994, for each such Generation Unit.

- c. Please attach a copy of the derivation of the average provided in (b) above, along with documentation support (such as ISO reports) for the information provided in Subsection (b) above. Data must be consistent with quantities used for ISO Market Settlement System.

ANNUAL RESOLUTION OF THE DIRECTORS OF  
TRANSCANADA POWER MARKETING LTD. (the  
"Corporation") DATED THE 20TH DAY OF  
OCTOBER, 2006 PURSUANT TO SECTION 4.5 OF  
THE CORPORATION'S BY-LAWS


APPOINTMENT OF OFFICERS

BE IT RESOLVED that the following persons are hereby appointed to the positions set forth below opposite their respective names, to hold such positions during the pleasure of the board or until their successors are duly appointed:

Alexander J. Pourbaix	President
Michael E. Hachey	Vice-President
William C. Taylor	Vice-President
Donald R. Marchand	Vice-President, Finance and Treasurer
Garry E. Lamb	Vice-President, Risk Management
Ronald L. Cook	Vice-President, Taxation
Donald J. DeGrandis	Secretary
John B. Cashin	Assistant Secretary

The undersigned, being all of the directors of the Corporation, hereby consent to the passing of the foregoing resolution.

  
\_\_\_\_\_  
M.E. HACHEY

  
\_\_\_\_\_  
W.C. TAYLOR

**RESOLUTION IN WRITING OF THE DIRECTORS  
OF TRANSCANADA HYDRO NORTHEAST INC.  
(the "Corporation") EFFECTIVE JULY 14, 2005**

**APPOINTMENT OF ADDITIONAL OFFICER**

BE IT RESOLVED that Michael E. Hachey is hereby appointed to the position of Vice-President, effective immediately, to hold such position during the pleasure of the board or until his successor is duly appointed.

For greater certainty, the officers of the Corporation now are:

President	Alexander J. Pourbaix
Vice-President	Sean D. McMaster
Vice-President	William C. Taylor
Vice-President	Michael E. Hachey
Vice-President, Taxation	Ronald L. Cook
Vice-President, Finance and Treasurer	Donald R. Marchand

The undersigned, being the directors of the Corporation, hereby consent to the passing of the foregoing resolution.

  
\_\_\_\_\_  
M.E. HACHEY

\_\_\_\_\_  
A.J. POURBAIX

  
\_\_\_\_\_  
W.C. TAYLOR

RESOLUTION IN WRITING OF THE DIRECTORS  
OF TRANSCANADA HYDRO NORTHEAST INC.  
(the "Corporation") EFFECTIVE JULY 14, 2005

APPOINTMENT OF ADDITIONAL OFFICER

BE IT RESOLVED that Michael E. Hachey is hereby appointed to the position of Vice-President, effective immediately, to hold such position during the pleasure of the board or until his successor is duly appointed.

For greater certainty, the officers of the Corporation now are:

President	Alexander J. Pourbaix
Vice-President	Sean D. McMaster
Vice-President	William C. Taylor
Vice-President	Michael E. Hachey
Vice-President, Taxation	Ronald L. Cook
Vice-President, Finance and Treasurer	Donald R. Marchand

The undersigned, being the directors of the Corporation, hereby consent to the passing of the foregoing resolution.

\_\_\_\_\_  
M.E. HACHEY

\_\_\_\_\_  
A.J. POURBAIX

\_\_\_\_\_  
W.C. TAYLOR