RIPUC Use Only	GIS Certification #:
Date Application Received: / /	
Date Review Completed://	
Date Commission Action: / /	
Date Commission Approved: / /	

RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

The Standard Application Form

Required of all Applicants for Certification of Eligibility of Renewable Energy Resource
(Version 4 – November 7, 2006)

STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISSION Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

NOTICE:

When completing this Renewable Energy Resources Eligibility Form and any applicable Appendices, please refer to the State of Rhode Island and Providence Plantations Public Utilities Commission Rules and Regulations Governing the Implementation of a Renewable Energy Standard (RES Regulations, Effective Date: January 1, 2006), and the associated RES Certification Filing Methodology Guide. All applicable regulations, procedures and guidelines are available on the Commission's web site: www.ripuc.org/utilityinfo/res.html. Also, all filings must be in conformance with the Commission's Rules of Practice and Procedure, in particular, Rule 1.5, or its successor regulation, entitled "Formal Requirements as to Filings."

- Please complete the Renewable Energy Resources Eligibility Form and Appendices using a typewriter or black ink.
- Please submit one original and three copies of the completed Application Form, applicable Appendices and all supporting documentation to the Commission at the following address:

Rhode Island Public Utilities Commission 89 Jefferson Blvd Warwick, RI 02888

Attn: Renewable Energy Resources Eligibility

In addition to the paper copies, electronic/email submittals are required under Commission regulations. Such electronic submittals should be sent to: Luly E. Massaro, Commission Clerk at lmassaro@puc.state.ri.us

- In addition to filing with the Commission, Applicants are required to send, electronically or electronically and in paper format, a copy of the completed Application including all attachments and supporting documentation, to the Division of Public Utilities and Carriers and to all interested parties. A list of interested parties can be obtained from the Commission's website at www.ripuc.org/utilityinfo/res.html.
- Keep a copy of the completed Application for your records.
- The Commission will notify the Authorized Representative if the Application is incomplete.
- Pursuant to Section 6.0 of the RES Regulations, the Commission shall provide a thirty (30) day period for public comment following posting of any administratively complete Application.
- Please note that all information submitted on or attached to the Application is considered to be a public record unless the Commission agrees to deem some portion of the application confidential after consideration under section 1.2(g) of the Commission's Rules of Practice and Procedure.
- In accordance with Section 6.2 of the RES Regulations, the Commission will provide prospective reviews for Applicants seeking a preliminary determination as to whether a facility would be eligible prior to the formal certification process described in Section 6.1 of the RES Regulations. Please note that space is provided on the Form for applicant to designate the type of review being requested.
- Questions related to this Renewable Energy Resources Eligibility Form should be submitted in writing, preferably via email and directed to: Luly E. Massaro, Commission Clerk at <u>lmassaro@puc.state.ri.us</u>

SECTION I: Identification Information

1.1 -	Name of Generation Unit (sufficient for full and unique identification): <u>Kelley's Falls</u>			
1.2	Type of Certification being requested (check one):			
1.3	This Application includes: (Check all that apply) ¹			
	☐ APPENDIX A: Authorized Representative Certification for Individual Owner or Operator			
	APPENDIX B: Authorized Representative Certification for Non-Corporate Entities Other Than Individuals			
	☐ APPENDIX D: Special Provisions for Aggregators of Customer-sited or Off-grid Generation Facilities			
	☐ APPENDIX E: Special Provisions for a Generation Unit Located in a Control Area Adjacent to NEPOOL			
	☐ APPENDIX F: Fuel Source Plan for Eligible Biomass Fuels			
.4	Primary Contact Person name and title: <u>Julie Smith-Galvin, Director of Corporate Affairs</u>			
.5	Primary Contact Person address and contact information: Address: One Tech Drive, Suite 220, Andover, MA 01810			
	Phone: (978) 681-1900 Fax: (978) 681-7727			
	Phone: (978) 681-1900 Fax: (978) 681-7727 Email: Julie.SmithGalvin@northamerica.enel.it			
.6	Backup Contact Person name and title: Eric Barreveld, Policy & Communications Associate			
.7	Backup Contact Person address and contact information: Address: One Tech Drive, Suite 220, Andover, MA 01810			
	Phone: (978) 296-6837			

¹ Please note that all Applicants are required to complete the Renewable Energy Resources Eligibility Standard Application Form and all of the Appendices that apply to the Generation Unit or Owner or Operator that is the subject of this Form. Please omit Appendices that do not apply.

1.8	certifying the accuracy of all information contained in this form and associated appendices, and whose signature will appear on the application): Michael Storch, Executive Vice President						
	Appendix A or B (as appropriate) completed and attached? ☐ Yes ☐ No ☒ N/A						
1.9	Authorized Representative address and contact information: Address: One Tech Drive, Suite 220, Andover, MA 01810						
	Phone: (978) 681-1900 Fax: (978) 681-7727 Email: Mike.Storch@northamerica.enel.it						
1.10	Owner name and title: CHI Power Marketing Inc. (Please note: The Project is owned by Consolidated Hydro New Hampshire Inc. but under an Assumption and Assignment Agreement dated July 3, 2002, Consolidated Hydro New Hampshire Inc. assigns all right title and interest to GIS Certificates to CHI Power Marketing, Inc.(CHIPM). As such, CHIPM is the sole entity legally entitled to sell or otherwise deal with Renewable Energy Certificates on this Generation Unit's behalf in connection with Rhode Island's Renewable Portfolio Standard.						
1.11	Owner address and contact information: Address: %Enel North America, Inc. One Tech Drive, Suite 220, Andover, MA 01810						
	Phone: (978) 681-1900 Fax: (978) 681-7727 Email:						
1.12	Owner business organization type (check one): ☐ Individual ☐ Partnership						
	☑ Corporation☑ Other:						
1.13	Operator name and title: Consolidated Hydro New Hampshire, inc.						
1.14	Operator address and contact information:						
	Address: <u>% Enel North America, Inc. One Tech Drive, Suite 220, Andover, MA 01810</u>						
	Phone: (978) 681-1900 Fax: (978) 681-7727 Email:						

SECTION II: Generation Unit Information, Fuels, Energy Resources and Technologies 2.1 ISO-NE Generation Unit Asset Identification Number or NEPOOL GIS Identification Number (either or both as applicable): MSS911 2.2 Generation Unit Nameplate Capacity: 0.45 MW 2.3 Maximum Demonstrated Capacity: 0.33 MW 2.4 Please indicate which of the following Eligible Renewable Energy Resources are used by the Generation Unit: (Check ALL that apply) - per RES Regulations Section 5.0 ☐ Direct solar radiation ☐ The wind ☐ Movement of or the latent heat of the ocean ☐ The heat of the earth ☐ Biomass facilities using Eligible Biomass Fuels and maintaining compliance with all aspects of current air permits; Eligible Biomass Fuels may be co-fired with fossil fuels, provided that only the renewable energy fraction of production from multi-fuel facilities shall be considered eligible. ☐ Biomass facilities using unlisted biomass fuel ☐ Biomass facilities, multi-fueled or using fossil fuel co-firing ☐ Fuel cells using a renewable resource referenced in this section 2.5 If the box checked in Section 2.4 above is "Small hydro facilities", please certify that the facility's aggregate capacity does not exceed 30 MW. - per RES Regulations Section 3.31 □ N/A or other (please explain) If the box checked in Section 2.4 above is "Small hydro facilities", please certify that the 2.6 facility does not involve any new impoundment or diversion of water with an average salinity of twenty (20) parts per thousand or less. - per RES Regulations Section 3.31 \boxtimes \leftarrow check this box to certify that the above statement is true □ N/A or other (please explain) If you checked one of the Biomass facilities boxes in Section 2.1 above, please respond 2.7 to the following: Please specify the fuel or fuels used or to be used in the Unit: A. В. Please complete and attach Appendix F, Eligible Biomass Fuel Source Plan. Appendix F completed and attached? ☐ Yes ☐ No ☐ N/A

2.8 Has the Generation Unit been certified as a Renewable Energy Resource for eanother state's renewable portfolio standard?				ce for eli	gibility in		
	⊠ Yes	☐ No	If yes, please attac	ch a copy of the	at state's	ertifying	order.
	Copy of St	ate's certifyir	ng order attached?		⊠ Yes	□ No	□ N/A
SEC	TION III: C	ommercial (Operation Date				
Pleas	se provide doc	umentation t	o support all claims a	and responses t	o the follo	owing que	estions:
3.1	Date Genera	ation Unit fir	st entered Commerci	al Operation: 1	12/11/	8 5 at the	e site.
3.2	Is there an	Existing Ren	ewable Energy Reso	urce located at	the site of	f Generati	ion Unit?
	⊠ Yes □ No						
3.3	If the date entered in response to question 3.1 is earlier than December 31, 1997 or if you checked "Yes" in response to question 3.2 above, please complete Appendix C.						
	Appendix (C completed a	and attached?		⊠ Yes	□ No	□ N/A
Was all or any part of the Generation Unit used on or before December 31, 199 generate electricity at any other site?				97 to			
	☐ Yes ☑ No						
3.5	If you checked "Yes" to question 3.4 above, please specify the power production equipment used and the address where such power production equipment produced electricity (attach more detail if the space provided is not sufficient):						
SEC	TION IV: M	etering					
4.1	Please indicate how the Generation Unit's electrical energy output is verified (check all that apply):						
	☐ Self-rep	orted to the N	ement System NEPOOL GIS Admir below and see Appe		ility for A	ggregatio	ons):
	Appendix	x D complete	d and attached?	[☐ Yes	□ No	⊠ N/A

5.1 Please check one of the following that apply to the Generation Unit: □ Grid Connected Generation □ Off-Grid Generation (not connected to a utility transmission or distribution system) □ Customer Sited Generation (interconnected on the end-use customer side of the retail electricity meter in such a manner that it displaces all or part of the metered consumption of the end-use customer) 5.2 Generation Unit address: Electric Street, Manchester, NH 03108

Please provide the Generation Unit's geographic location information:

5.3

A.

- B. Longitude/Latitude: <u>42° 59' 35"</u> / <u>71° 29' 43"</u>

 5.4 The Generation Unit located: (please check the appropriate box)

 □ In the NEPOOL control area
 □ In a control area adjacent to the NEPOOL control area
 - ☐ In a control area other than NEPOOL which is not adjacent to the NEPOOL control area ← If you checked this box, then the generator does not qualify for the RI RES—therefore, please do not complete/submit this form.

Universal Transverse Mercator Coordinates:

5.5 If you checked "In a control area adjacent to the NEPOOL control area" in Section 5.4 above, please complete Appendix E.

Appendix E completed and attached? ☐ Yes ☐ No ☒ N/A

SECTION VI: Certification

6.1	Please attach documentation, using one of the applicable forms below, demonstrating t authority of the Authorized Representative indicated in Section 1.8 to certify and submithis Application.							
	Corporations							
	If the Owner or Operator is a corporation, the Authorized Representative shall provide either :							
	(a) Evidence of a board of directors vote granting authority to the Authorized Representative to execute the Renewable Energy Resources Eligibility Form, or							
(b) A certification from the Corporate Clerk or Secretary of the Corporate Authorized Representative is authorized to execute the Renewal Eligibility Form or is otherwise authorized to legally bind the comatters.				ble Energy Resources				
	Evidence of Board Vote provided?		Yes		No	⊠	N/A	
	Corporate Certification provided?	×	Yes		No		N/A	
	Individuals							
	If the Owner or Operator is an individual, that individual shall complete and attach APPENDIX A, or a similar form of certification from the Owner or Operator, duly notarized, that certifies that the Authorized Representative has authority to execute the Renewable Energy Resources Eligibility Form.							
	Appendix A completed and attached?		Yes		No	\boxtimes	N/A	
	Non-Corporate Entities							
	(Proprietorships, Partnerships, Cooperatives, etc.) If the Owner or Operator is not an individual or a corporation, it shall complete and attach APPENDIX B or execute a resolution indicating that the Authorized Representative named in Section 1.8 has authority to execute the Renewable Energy Resources Eligibility Form or to otherwise legally bind the non-corporate entity in like matters.							
	Appendix B completed and attached?		Yes		No	\boxtimes	N/A	

6.2 Authorized Representative Certification and Signature:

I hereby certify, under pains and penalties of perjury, that I have personally examined and am familiar with the information submitted herein and based upon my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment. My signature below certifies all information submitted on this Renewable Energy Resources Eligibility Form. The Renewable Energy Resources Eligibility Form includes the Standard Application Form and all required Appendices and attachments. I acknowledge that the Generation Unit is obligated to and will notify the Commission promptly in the event of a change in a generator's eligibility status (including, without limitation, the status of the air permits) and that when and if, in the Commission's opinion, after due consideration, there is a material change in the characteristics of a Generation Unit or its fuel stream that could alter its eligibility, such Generation Unit must be re-certified in accordance with Section 9.0 of the RES Regulations. I further acknowledge that the Generation Unit is obligated to and will file such quarterly or other reports as required by the Regulations and the Commission in its certification order. I understand that the Generation Unit will be immediately de-certified if it fails to file such reports.

Signature of Authorized Representative:	
SIGNATURE:	DATE:
Val. al Total	November 9, 2006
Executive Vice President, CHI Power Marketing, Inc.	
(Title)	

GIS Certification #:	

APPENDIX C

(Required of all Applicants with Generation Units at the Site of Existing Renewable Energy Resources)

STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISION

RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

(2) is	e Generation Unit: (1) first entered into commercial operation before December s located at the exact site of an Existing Renewable Energy Resource, please wing and attach documentation, as necessary to support all responses:		
C.1	Is the Generating Unit seeking certification, either in whole or in part Renewable Energy Resource?		a New ⊠ No
C.2	If you answered "Yes" to question C.1, please complete the remainder of Apyou answered "No" and are seeking certification entirely as an Existing Energy Resource, you do NOT need to complete the remainder of Appendix	Re	
C.3	If an Existing Renewable Energy Resource is/was located at the site, has s Renewable Energy Resource been retired and replaced with the new General	ation	Unit at
	the same site? \Box Y	es	☐ No
C.4	Is the Generation Unit a Repowered Generation Unit (as defined in Section RES Regulations) which uses Eligible Renewable Energy Resources and entered commercial operation after December 31, 1997 at the site of Generation Unit?	wh an	ich first
C.5	If you checked "Yes" to question C.4 above, please provide documentatio that the entire output of the Repowered Generation Unit first entered operation after December 31, 1997.		
C.6	Is the Generation Unit a multi-fuel facility in which an Eligible Biomass Fue fired with fossil fuels after December 31, 1997?		first co-

C.7	If you checked "Yes" to question C.6 above, please provide documentation to support that the renewable energy fraction of the energy output first occurred after December 31, 1997.					
C.8	Is the	Generation Unit an Existing Renewable Energy Resource other than an Intermittent				
		urce (as defined in Section 3.9 and 3.14 of the RES Regulations)?				
C.9	If you checked "Yes" to question C.8 above, please attach evidence of completed capital investments after December 31, 1997 attributable to efficiency improvements or additions of capacity that are sufficient to, were intended to, and can be demonstrated to increase annual electricity output in excess of ten percent (10%). As specified in Section 3.22.v of the RES Regulations, the determination of incremental production shall not be based on any operational changes at such facility not directly associated with the efficiency improvements or additions of capacity.					
C.10	Is the Generating Unit an Existing Renewable Energy Resource that is an Intermittent Resource?					
C.11	If you checked "Yes" to question C.10 above, please attach evidence of completed capital investments after December 31, 1997 attributable to efficiency improvements or additions of capacity that are sufficient to, were intended to, and have demonstrated on a normalized basis to increase annual electricity output in excess of ten percent (10%). The determination of incremental production shall not be based on any operational changes at such facility not directly associated with the efficiency improvements or additions of capacity. In no event shall any production that would have existed during the Historical Generation Baseline period in the absence of the efficiency improvements or additions to capacity be considered incremental production. Please refer to Section 3.22.vi of the RES Regulations for further guidance.					
C.12	If you checked "Yes" to C.10, provide the single proposed percentage of production to be deemed incremental, attributable to the efficiency improvements or additions of capacity placed in service after December 31, 1997. Please provide backup information sufficient for the Commission to make a determination of this incremental production percentage.					
C.13	If you	checked "no" to both C.3 and C.4 above, please complete the following:				
	a.	Was the Existing Renewable Energy Resource located at the exact site at any time				
		during calendar years 1995 through 1997? ☐ Yes ☐ No				
	b.	If you checked "yes" in Subsection (a) above, please provide the Generation Unit Asset Identification Number and the average annual electrical production (MWhs) for the three calendar years 1995 through 1997, or for the first 36 months after the Commercial Operation Date if that date is after December 31, 1994, for each such Generation Unit.				

SECRETARY'S CERTIFICATE

CHI Power Marketing, INC.

I, Steve Champagne	, Secretary of	CHI Power M	arketing, Inc. (the
			is authorized to execute the
Renewable Energy Resour	ces Eligibility	Form dated Nov	ember 09, 2006 or is
otherwise authorized to leg	gally bind the C	Corporation in like	e mayter's./
		- >	1/60/
		By:	V 14 /
		Secretary	Power Marketing Inc.
		CHI	rowel Marketing Inc.



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC UTILITY CONTROL TEN FRANKLIN SQUARE NEW BRITAIN, CT 06051

DOCKET NO. 06-02-11 APPLICATION OF CONSOLIDATED HYDRO NEW HAMPSHIRE, INC. FOR QUALIFICATION OF KELLEY'S FALLS HYDROELECTRIC PROJECT AS A CLASS II RENEWABLE ENERGY SOURCE

March 29, 2006

By the following Commissioners:

Anthony J. Palermino Anne C. George John W. Betkoski, III

DECISION

INTRODUCTION

A. SUMMARY

In this Decision, the Department of Public Utility Control determines that the Kelley's Falls Hydroelectric generating facility qualifies as a Class II renewable energy source as a run-of-river hydroelectric facility and assigns it Connecticut Renewable Portfolio Standard (RPS) Registration Number CT00198-06.

B. BACKGROUND OF THE PROCEEDING

By application dated February 9, 2006, Consolidated Hydro New Hampshire, Inc. (Consolidated) requested that the Department of Public Utility Control (Department) determine that the Kelley's Falls Hydroelectric Project (Kelley's Falls) generation facility qualifies as a Class II renewable energy source.

According to Consolidated, Kelley's Falls is a hydroelectric facility located in Manchester, New Hampshire. Kelley's Falls began commercial operation in December, 1985 and has a combined nameplate capacity of .45MW.

C. CONDUCT OF THE PROCEEDING

There is no statutory requirement for a hearing, no person requested a hearing, and none was held.

D. PARTICIPANTS IN THE PROCEEDING

The Department recognized Consolidated Hydro New Hampshire, Inc., c/o Enel North America, Inc., One Tech Drive, Suite 220, Andover, Massachusetts, 01810 and the Office of Consumer Counsel, Ten Franklin Square, New Britain, Connecticut 06051, as participants in this proceeding.

II. DEPARTMENT ANALYSIS

Pursuant to Connecticut General Statutes (C.G.S.) §16-1(a)(27), as amended by Public Act 03-135, An Act Concerning Revisions to the Electric Restructuring Legislation, "Class II renewable energy source" includes energy derived from a run-of-the-river hydropower facility provided such facility has a generating capacity of not more than five megawatts, does not cause an appreciable change in the river flow, and began operation prior to July 1, 2003.

In interpreting C.G.S. §16-1(a)(27), the Department determined that:

- (1) "Facility" refers to an entire hydroelectric plant at a single site rather than a turbine generating unit within a hydroelectric plant;
- (2) The "generating capacity of not more than five megawatts" refers to a hydroelectric facility's nameplate capacity, not its actual or average generation output;
- (3) In order to qualify as "run-of-the-river," a hydroelectric facility must show a current FERC license or exemption that requires the facility to operate in run-of-river mode. In addition, a facility can qualify as a Class I or Class II renewable energy facility only to the extent that its FERC license or exemption requires run-of-river operation. Hydroelectric facilities that are not regulated by FERC will be required to show a FERC order or a court decision stating that FERC has no jurisdiction, or has declined to exercise jurisdiction, over such facility. In such cases, the hydroelectric facility must show that its operation allows the river inflow to equal outflow instantaneously and therefore, does not cause an appreciable change in the river flow; and
- (4) "Began operations" means (A) the date an existing facility with existing generation began commercial operation as shown in documentation from FERC; (B) the new date given to an abandoned or destroyed facility that comes back into operation as shown in its documentation from FERC or as determined by the Department; (C) the date upon which a facility changes operation from store and release to run-of-river as

shown in documentation from FERC; or (D) the new date that incremental generation is in operation at an existing facility as shown in its documentation from FERC.

<u>See</u> Docket No. 04-02-07, <u>DPUC Declaratory Ruling Concerning "Run-of-the-River Hydropower" as That Term is Used in the Definitions of Class I and Class II Renewable Energy Source in C.G.S. §16-1(a)(26) &(27).</u>

As provided in the application, Kelley's Falls is a hydroelectric facility located on Electric Street, Manchester, New Hampshire 03108. Kelley's Falls is currently owned by Consolidated. According to its current FERC license, Kelley's Falls is licensed to operate as a hydroelectric facility for 40 years beginning on April 24, 1984. FERC License, April 24, 1984, Project No. 3025-032, (FERC License). Under its FERC License, Kelley's Falls is required to operate in run-of-river mode throughout the year. FERC License, p.1, p.3. The FERC License also shows that Kelley's Falls began operation in December, 1985, FERC Project Compliance Summary. The FERC License states that the facility has 1 turbine generator, p. 6.

Based on the foregoing, the Department determines that Kelley's Falls qualifies as a Class II renewable energy facility.

FINDINGS OF FACT

- 1. Kelley's Falls is a hydroelectric facility located in Manchester, New Hampshire.
- 2. Kelley's Falls is currently owned by Consolidated Hydro.
- 3. Kelley's Falls began operation in December 1985.
- 4. Kelley's Falls has 1 turbine generators with a total combined nameplate capacity of .45 megawatts.
- 5. Kelley's Falls is licensed by FERC to operate as a hydroelectric facility for 40 years beginning on April 24, 1984.
- 6. Under its FERC license, Kelley's Falls is required to operate in run-of-river mode throughout the year.
- 7. Kelley's Falls is registered in the NEPOOL Generation Information System.

CONCLUSION

Based on the evidence submitted, the Department finds that Kelley's Falls qualifies as a Class II renewable generation source pursuant to Connecticut General Statutes §16-1(a)(27).

The Department assigns each renewable generation source a unique Connecticut Renewable Portfolio Standard (RPS) registration number. Kelley's Falls' Connecticut RPS registration number is CT00198-06.

The Department's determination in this docket is based on the information submitted by Enel North America. The Department may reverse its ruling or revoke the Applicant's registration if any material information provided by the Applicant proves to be false or misleading. The Department reminds Enel North America that it is obligated to notify the Department within 10 days of any changes to any of the information it has provided to the Department.

DOCKET NO. 06-02-11 APPLICATION OF CONSOLIDATED HYDRO NEW HAMPSHIRE, INC. FOR QUALIFICATION OF KELLEY'S FALLS HYDROELECTRIC PROJECT AS A CLASS II RENEWABLE ENERGY SOURCE

This Decision is adopted by the following Commissioners:

Anthony J. Palermino

Anne C. George

John W. Betkoski, III

CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Department of Public Utility Control, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.

Louis 6. Rickard

March 30, 2006

Date

Louise E. Rickard
Acting Executive Secretary
Department of Rublic Utility Control

Department of Public Utility Control