RIPUC Use Only]	GIS Certification #:
Date Application Received://		
Date Review Completed://		
Date Commission Action://		
Date Commission Approved: / /		

RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

The Standard Application Form

Required of all Applicants for Certification of Eligibility of Renewable Energy Resource
(Version 4 – November 7, 2006)

STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISSION Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

NOTICE:

When completing this Renewable Energy Resources Eligibility Form and any applicable Appendices, please refer to the State of Rhode Island and Providence Plantations Public Utilities Commission Rules and Regulations Governing the Implementation of a Renewable Energy Standard (RES Regulations, Effective Date: January 1, 2006), and the associated RES Certification Filing Methodology Guide. All applicable regulations, procedures and guidelines are available on the Commission's web site: www.ripuc.org/utilityinfo/res.html. Also, all filings must be in conformance with the Commission's Rules of Practice and Procedure, in particular, Rule 1.5, or its successor regulation, entitled "Formal Requirements as to Filings."

- Please complete the Renewable Energy Resources Eligibility Form and Appendices using a typewriter or black ink.
- Please submit one original and three copies of the completed Application Form, applicable Appendices and all supporting documentation to the Commission at the following address:

Rhode Island Public Utilities Commission 89 Jefferson Blvd Warwick, RI 02888

Attn: Renewable Energy Resources Eligibility

In addition to the paper copies, electronic/email submittals are required under Commission regulations. Such electronic submittals should be sent to: Luly E. Massaro, Commission Clerk at lmassaro@puc.state.ri.us

- In addition to filing with the Commission, Applicants are required to send, electronically or electronically and in paper format, a copy of the completed Application including all attachments and supporting documentation, to the Division of Public Utilities and Carriers and to all interested parties. A list of interested parties can be obtained from the Commission's website at www.ripuc.org/utilityinfo/res.html.
- Keep a copy of the completed Application for your records.
- The Commission will notify the Authorized Representative if the Application is incomplete.
- Pursuant to Section 6.0 of the RES Regulations, the Commission shall provide a thirty (30) day period for public comment following posting of any administratively complete Application.
- Please note that all information submitted on or attached to the Application is considered to be a public record unless the Commission agrees to deem some portion of the application confidential after consideration under section 1.2(g) of the Commission's Rules of Practice and Procedure.
- In accordance with Section 6.2 of the RES Regulations, the Commission will provide prospective reviews for Applicants seeking a preliminary determination as to whether a facility would be eligible prior to the formal certification process described in Section 6.1 of the RES Regulations. Please note that space is provided on the Form for applicant to designate the type of review being requested.
- Questions related to this Renewable Energy Resources Eligibility Form should be submitted in writing, preferably via email and directed to: Luly E. Massaro, Commission Clerk at <u>lmassaro@puc.state.ri.us</u>

SECTION I: Identification Information

1.1	Name of Generation Unit (sufficient for full and unique identification): Mascoma				
1.2	Type of Certification being requested (check one):				
1.3	This Application includes: (Check all that apply) ¹				
	☐ APPENDIX A: Authorized Representative Certification for Individual Owner or Operator				
	☐ APPENDIX B: Authorized Representative Certification for Non-Corporate Entities Other Than Individuals				
	☐ APPENDIX D: Special Provisions for Aggregators of Customer-sited or Off-grid Generation Facilities				
	☐ APPENDIX E: Special Provisions for a Generation Unit Located in a Control Area Adjacent to NEPOOL				
	☐ APPENDIX F: Fuel Source Plan for Eligible Biomass Fuels				
1.4	Primary Contact Person name and title: <u>Julie Smith-Galvin, Director of Corporate Affairs</u>				
1.5	Primary Contact Person address and contact information: Address: One Tech Drive, Suite 220, Andover, MA 01810				
	77 (070) (01 1707				
	Phone: (978) 681-1900 Fax: (978) 681-7727 Email: Julie.SmithGalvin@northamerica.enel.it				
1.6	Backup Contact Person name and title: Eric Barreveld, Policy & Communications				
	Associate				
1.7	Backup Contact Person address and contact information: Address: One Tech Drive, Suite 220, Andover, MA 01810				
	Phone: (978) 296-6837 Fax: (978) 681-7727				
	Email: Eric.Barreveld@northamerica.enel.it				

¹ Please note that all Applicants are required to complete the Renewable Energy Resources Eligibility Standard Application Form and all of the Appendices that apply to the Generation Unit or Owner or Operator that is the subject of this Form. Please omit Appendices that do not apply.

1.8	Name and Title of Authorized Representative (i.e., the individual responsible for certifying the accuracy of all information contained in this form and associated appendices, and whose signature will appear on the application): Michael Storch, Executive Vice President				
	Appendix A or B (as appropriate) completed and attached? ☐ Yes ☐ No ☒ N/A				
1.9	Authorized Representative address and contact information: Address: One Tech Drive, Suite 220, Andover, MA 01810				
	Phone: (978) 681-1900 Fax: (978) 681-7727 Email: Mike.Storch@northamerica.enel.it				
<u>Jt</u> <u>C</u> <u>le</u> <u>G</u>	Owner name and title: CHI Power Marketing Inc. (Please note: The Project is owned by Mascoma Hydro Corporation but under an Assumption and Assignment Agreement dated aly 3, 2002, Mascoma Hydro Corporation assigns all right, title and interest to GIS ertificates to CHI Power Marketing, Inc.(CHIPM). As such, CHIPM is the sole entity egally entitled to sell or otherwise deal with Renewable Energy Certificates on this eneration Unit's behalf in connection with Rhode Island's Renewable Portfolio tandard. Owner address and contact information: Address: Menery Certificates on this eneration Unit's behalf in connection with Rhode Island's Renewable Portfolio tandard.				
	Phone: (978) 681-1900 Fax: (978) 681-7727 Email:				
1.12	Owner business organization type (check one): ☐ Individual ☐ Partnership ☐ Corporation ☐ Other:				
1.13	Operator name and title: Mascoma Hydro Corporation				
1.14	Operator address and contact information: Address: <u>% Enel North America, Inc. One Tech Drive, Suite 220, Andover, MA 01810</u>				
	Phone: (978) 681-1900 Fax: (978) 681-7727 Email:				

ISO-NE Generation Unit Asset Identification Number or NEPOOL GIS Identification 2.1 Number (either or both as applicable): MSS1061 2.2 Generation Unit Nameplate Capacity: 1.50 MW 2.3 Maximum Demonstrated Capacity: 0.94 MW 2.4 Please indicate which of the following Eligible Renewable Energy Resources are used by the Generation Unit: (Check ALL that apply) – per RES Regulations Section 5.0 ☐ Direct solar radiation ☐ The wind ☐ Movement of or the latent heat of the ocean ☐ The heat of the earth ☐ Biomass facilities using Eligible Biomass Fuels and maintaining compliance with all aspects of current air permits; Eligible Biomass Fuels may be co-fired with fossil fuels, provided that only the renewable energy fraction of production from multi-fuel facilities shall be considered eligible. ☐ Biomass facilities using unlisted biomass fuel ☐ Biomass facilities, multi-fueled or using fossil fuel co-firing ☐ Fuel cells using a renewable resource referenced in this section If the box checked in Section 2.4 above is "Small hydro facilities", please certify that the 2.5 facility's aggregate capacity does not exceed 30 MW. - per RES Regulations Section 3.31 □ N/A or other (please explain) If the box checked in Section 2.4 above is "Small hydro facilities", please certify that the 2.6 facility does not involve any new impoundment or diversion of water with an average salinity of twenty (20) parts per thousand or less. – per RES Regulations Section 3.31 \boxtimes \leftarrow check this box to certify that the above statement is true □ N/A or other (please explain) 2.7 If you checked one of the Biomass facilities boxes in Section 2.1 above, please respond to the following: Please specify the fuel or fuels used or to be used in the Unit: A. Please complete and attach Appendix F, Eligible Biomass Fuel Source Plan. В. Appendix F completed and attached? ☐ Yes ☐ No ☐ N/A

SECTION II: Generation Unit Information, Fuels, Energy Resources and Technologies

2.6	another state's renewable portfolio standard?			gibility in		
	⊠ Yes	□ No	If yes, please attach a	copy of that state's	certifying	order.
	Copy of Sta	ite's certifyir	ng order attached?	⊠ Yes	☐ No	□ N/A
SEC	TION III: Co	ommercial (Operation Date			
Pleas	se provide doci	umentation to	o support all claims and r	esponses to the fol	lowing que	estions:
3.1	Date Genera	tion Unit firs	st entered Commercial O	peration: <u>12</u> /	/ <u>89</u> at	the site.
3.2	Is there an I	Existing Ren	ewable Energy Resource	located at the site	of Generati	ion Unit?
	⊠ Yes □ No					
3.3			ponse to question 3.1 is ease to question 3.2 above,			
	Appendix C	completed a	and attached?	⊠ Ye	es 🗆 No	N/A
3.4		ny part of the	e Generation Unit used or y other site?	n or before Decem	ber 31, 199	97 to
	☐ Yes ☑ No					
3.5	equipment us	sed and the a	question 3.4 above, pleased ddress where such power etail if the space provided	production equipr		
SEC		etering				
4.1	that apply):		Generation Unit's electric	cal energy output is	s verified (check all
	-		NEPOOL GIS Administrates below and see Appendix		Aggregation	ons):
	Appendix	D complete	d and attached?	☐ Yes	□ No	⊠ N/A

SECTION V: Location

5.1	Please check one of the following that apply to the Generation Unit:
	 ☑ Grid Connected Generation ☑ Off-Grid Generation (not connected to a utility transmission or distribution system) ☑ Customer Sited Generation (interconnected on the end-use customer side of the retainelectricity meter in such a manner that it displaces all or part of the metered consumption of the end-use customer)
5.2	Generation Unit address: Rt. 12A & Benning Street, West Lebanon, NH 03784
5.3	Please provide the Generation Unit's geographic location information:
	A. Universal Transverse Mercator Coordinates:
	B. Longitude/Latitude: <u>72° 18' 15"</u> / <u>43°13'35"</u>
5.4	The Generation Unit located: (please check the appropriate box)
	 In the NEPOOL control area In a control area adjacent to the NEPOOL control area In a control area other than NEPOOL which is not adjacent to the NEPOOL control area ← If you checked this box, then the generator does not qualify for the RI RES – therefore, please do not complete/submit this form.
5.5	If you checked "In a control area adjacent to the NEPOOL control area" in Section 5.4 above, please complete Appendix E.
	Appendix E completed and attached? □ Yes □ No □ N/A

SECTION VI: Certification

1	Please attach documentation, using one of the applicable forms below, demonstrating the authority of the Authorized Representative indicated in Section 1.8 to certify and submit this Application.						
Corporations							
	If the Owner or Operator is a corporation, the Authorized shall provide either :	l Rep	resenta	ative			
	(a) Evidence of a board of directors vote granting author Representative to execute the Renewable Energy Res	•				m, o	r
	(b) A certification from the Corporate Clerk or Secretary of the Corporation that the Authorized Representative is authorized to execute the Renewable Energy Resources Eligibility Form or is otherwise authorized to legally bind the corporation in like matters.				ources		
	Evidence of Board Vote provided?		Yes		No		N/A
	Corporate Certification provided?		Yes		No		N/A
	<u>Individuals</u>						
	If the Owner or Operator is an individual, that individual attach APPENDIX A, or a similar form of certification from Operator, duly notarized, that certifies that the Authorize authority to execute the Renewable Energy Resources Electrons	om th d Rep	ne Own present	ner o ative	r		
	Appendix A completed and attached?		Yes		No	⊠ :	N/A
	Non-Corporate Entities						
	(Proprietorships, Partnerships, Cooperatives, etc.) If the Owner or Operator is not an individual or a corporation, it shall complete and attach APPENDIX B or execute a resolution indicating that the Authorized Representative named in Section 1.8 has authority to execute the Renewable Energy Resources Eligibility Form or to otherwise legally bind the non-corporate entity in like matters.						
	Appendix B completed and attached?		Yes		No		N/A

6.2 Authorized Representative Certification and Signature:

I hereby certify, under pains and penalties of perjury, that I have personally examined and am familiar with the information submitted herein and based upon my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment. My signature below certifies all information submitted on this Renewable Energy Resources Eligibility Form. The Renewable Energy Resources Eligibility Form includes the Standard Application Form and all required Appendices and attachments. I acknowledge that the Generation Unit is obligated to and will notify the Commission promptly in the event of a change in a generator's eligibility status (including, without limitation, the status of the air permits) and that when and if, in the Commission's opinion, after due consideration, there is a material change in the characteristics of a Generation Unit or its fuel stream that could alter its eligibility, such Generation Unit must be re-certified in accordance with Section 9.0 of the RES Regulations. I further acknowledge that the Generation Unit is obligated to and will file such quarterly or other reports as required by the Regulations and the Commission in its certification order. I understand that the Generation Unit will be immediately de-certified if it fails to file such reports.

Signature of Authorized Representative:	
SIGNATURE:	DATE: November 9, 2006
Executive Vice President, CHI Power Marketing, Inc (Title)	

GIS Certification #:	

APPENDIX C

(Required of all Applicants with Generation Units at the Site of Existing Renewable Energy Resources)

STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISION

RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

(2) is	Generation Unit: (1) first entered into commercial operation before Dec located at the exact site of an Existing Renewable Energy Resource, pring and attach documentation, as necessary to support all responses:		
C.1	Is the Generating Unit seeking certification, either in whole or in Renewable Energy Resource?	n part, as	a New ⊠ No
C.2	If you answered "Yes" to question C.1, please complete the remainder you answered "No" and are seeking certification entirely as an E Energy Resource, you do NOT need to complete the remainder of App	xisting Re	
C.3	If an Existing Renewable Energy Resource is/was located at the site, Renewable Energy Resource been retired and replaced with the new the same site?		_
C.4	Is the Generation Unit a Repowered Generation Unit (as defined in SRES Regulations) which uses Eligible Renewable Energy Resource entered commercial operation after December 31, 1997 at the significant Unit?	es and wh	ich first
C.5	If you checked "Yes" to question C.4 above, please provide docume that the entire output of the Repowered Generation Unit first er operation after December 31, 1997.		
C.6	Is the Generation Unit a multi-fuel facility in which an Eligible Bioma fired with fossil fuels after December 31, 1997?	ass Fuel is	first co-

C.7	If you checked "Yes" to question C.6 above, please provide documentation to support that the renewable energy fraction of the energy output first occurred after December 31, 1997.				
C.8	Is the	Generation Unit an Existing Renewable Energy Resource other than an Intermittent			
		arce (as defined in Section 3.9 and 3.14 of the RES Regulations)? Yes No			
C.9	invest additi increa 3.22.v based	the checked "Yes" to question C.8 above, please attach evidence of completed capital tements after December 31, 1997 attributable to efficiency improvements or one of capacity that are sufficient to, were intended to, and can be demonstrated to use annual electricity output in excess of ten percent (10%). As specified in Section of the RES Regulations, the determination of incremental production shall not be on any operational changes at such facility not directly associated with the ency improvements or additions of capacity.			
C.10	Is the Resou	Generating Unit an Existing Renewable Energy Resource that is an Intermittent arce?			
C.11	invest addition normal determinations such the capacit Generic capacit	checked "Yes" to question C.10 above, please attach evidence of completed capital ments after December 31, 1997 attributable to efficiency improvements or ons of capacity that are sufficient to, were intended to, and have demonstrated on a dized basis to increase annual electricity output in excess of ten percent (10%). The mination of incremental production shall not be based on any operational changes at facility not directly associated with the efficiency improvements or additions of ity. In no event shall any production that would have existed during the Historical ation Baseline period in the absence of the efficiency improvements or additions to ity be considered incremental production. Please refer to Section 3.22.vi of the Regulations for further guidance.			
C.12	deeme placed	checked "Yes" to C.10, provide the single proposed percentage of production to be ed incremental, attributable to the efficiency improvements or additions of capacity in service after December 31, 1997. Please provide backup information sufficient commission to make a determination of this incremental production percentage.			
C.13	If you	checked "no" to both C.3 and C.4 above, please complete the following:			
	a.	Was the Existing Renewable Energy Resource located at the exact site at any time			
		during calendar years 1995 through 1997? □ Yes □ No			
	b.	If you checked "yes" in Subsection (a) above, please provide the Generation Unit Asset Identification Number and the average annual electrical production (MWhs) for the three calendar years 1995 through 1997, or for the first 36 months after the Commercial Operation Date if that date is after December 31, 1994, for each such Generation Unit.			

SECRETARY'S CERTIFICATE

CHI	Power	Marketing	, INC.

I, Steve Champagne,	Secretary ofC	CHI Power M	arketing, Inc. (the
"Corporation") hereby certi	ify thatMicha	el Storch	_ is authorized to execute the
Renewable Energy Resource	es Eligibility Form	n dated <u>Nove</u>	ember 09, 2006 or is
otherwise authorized to lega	ally bind the Corpo	oration in like	matters
	E	By:	1/2//-
		Secretary	V //U
		CHI	Power Marketing Inc.



STATE OF CONNECTICUT

RECEIVED

MAR 1 7 2005

DEPARTMENT OF PUBLIC UTILITY CONTROL MF TEN FRANKLIN SQUARE NEW BRITAIN, CT 06051

DOCKET NO. 03-12-84 APPLICATION OF MASCOMA HYDRO CORPORATION FOR QUALIFICATION OF MASCOMA AS A CLASS II RENEWABLE ENERGY SOURCE

March 09, 2005

By the following Commissioners:

Anne C. George Jack R. Goldberg John W. Betkoski, III

DECISION

INTRODUCTION

A. SUMMARY

In this Decision, the Department of Public Utility Control determines that the Mascoma Hydroelectric generating facility qualifies as a Class II renewable energy source as a run-of-river hydroelectric facility and assigns it Connecticut Renewable Portfolio Standard (RPS) Registration Number CT00074-03.

B. BACKGROUND OF THE PROCEEDING

By application dated December 30, 2003, Mascoma Hydro Corporation (MHC) requested that the Department of Public Utility Control (Department) determine that the Mascoma generation facility qualifies as a Class II renewable energy source. Mascoma is a run-of-river hydroelectric facility located in West Lebanon, New Hampshire. Mascoma began commercial operation on December 7, 1988 with a nameplate capacity of 1.5 MW. Application, p. 2; Application, Attachments 1 and 4.

C. CONDUCT OF THE PROCEEDING

As provided in the application, Mascoma is a hydroelectric facility located at Route 12A Benning Street, West Lebanon, New Hampshire. Mascoma is currently owned by MHC. According to MHC, there is one turbine generator at this facility, with a nameplate capacity of 1.5 megawatts. Application, p. 2. In its current FERC license, issued on March 19, 1987, Mascoma is licensed to operate as a hydroelectric facility for 40 years beginning on March 1, 1987. Application, Attachment, p. 3. Under its license, Mascoma is required to operate in run-of-river mode throughout the year. Application, Attachment 1, p. 6. Mascoma began operations on December 7, 1988. Application, Attachment 4.

Based on the foregoing, the Department determines that Mascoma qualifies as a Class II renewable energy facility.

III. FINDINGS OF FACT

- 1. Mascoma is a hydroelectric generating facility located in West Lebanon, New Hampshire.
- 2. Mascoma is currently owned by Mascoma Hydro Corporation.
- 3. Mascoma began operation on December 7, 1988.
- 4. Mascoma has one turbine generator with a total combined nameplate capacity of 1.5 megawatts.
- 5. Mascoma is licensed by FERC to operate as a hydroelectric facility for 40 years beginning on March 1, 1987.
- 6. Under its FERC license, Mascoma is required to operate in run-of-river mode throughout the year.

IV. CONCLUSION

Based on the evidence submitted, the Department finds that Mascoma qualifies as a Class II renewable generation source pursuant to Connecticut General Statutes §16-1(a)(27).

The Department assigns each renewable generation source a unique Connecticut Renewable Portfolio Standard (RPS) registration number. Mascoma's Connecticut RPS registration number is CT00074-03.

The Department's determination in this docket is based on the information submitted by MHC. The Department may reverse its ruling or revoke the Applicant's registration if any material information provided by the Applicant proves to be false or misleading. The Department reminds MHC that it is obligated to notify the Department within 10 days of any changes to any of the information it has provided to the Department.

There is no statutory requirement for a hearing, no person requested a hearing, and none was held.

D. PARTICIPANTS IN THE PROCEEDING

The Department recognized Mascoma Hydro Corporation, c/o Enel North America, One Tech Drive, Suite 220, Andover Massachusetts, 01810, and the Office of Consumer Counsel, Ten Franklin Square, New Britain, Connecticut 06051, as participants in this proceeding.

II. DEPARTMENT ANALYSIS

Pursuant to Connecticut General Statutes (C.G.S.) §16-1(a)(27), as amended by Public Act 03-135, An Act Concerning Revisions to the Electric Restructuring Legislation, "Class II renewable energy source" includes energy derived from a run-of-the-river hydropower facility provided such facility has a generating capacity of not more than five megawatts, does not cause an appreciable change in the riverflow, and began operation prior to July 1, 2003.

In interpreting C.G.S. §16-1(a)(27), the Department determined that:

- (1) "Facility" refers to an entire hydroelectric plant at a single site rather than a turbine generating unit within a hydroelectric plant;
- (2) The "generating capacity of not more than five megawatts" refers to a hydroelectric facility's nameplate capacity, not its actual or average generation output;
- (3) In order to qualify as "run-of-the-river," a hydroelectric facility must show a current FERC license or exemption that requires the facility to operate in run-of-river mode. In addition, a facility can qualify as a Class I or Class II renewable energy facility only to the extent that its FERC license or exemption requires run-of-river operation. Hydroelectric facilities that are not regulated by FERC will be required to show a FERC order or a court decision stating that FERC has no jurisdiction, or has declined to exercise jurisdiction, over such facility. In such cases, the hydroelectric facility must show that its operation allows the river inflow to equal outflow instantaneously and therefore, does not cause an appreciable change in the riverflow; and
- (4) "Began operations" means (A) the date an existing facility with existing generation began commercial operation as shown in documentation from FERC; (B) the new date given to an abandoned or destroyed facility that comes back into operation as shown in its documentation from FERC or as determined by the Department; (C) the date upon which a facility changes operation from store and release to run-of-river as shown in documentation from FERC; or (D) the new date that incremental generation is in operation at an existing facility as shown in its documentation from FERC.

<u>See</u> Docket No. 04-02-07, <u>DPUC Declaratory Ruling Concerning "Run-of-the-River Hydropower" as That Term is Used in the Definitions of Class I and Class II Renewable Energy Source in C.G.S. §16-1(a)(26) &(27).</u>

DOCKET NO. 03-12-84 APPLICATION OF MASCOMA HYDRO CORPORATION FOR QUALIFICATION OF MASCOMA AS A CLASS II RENEWABLE ENERGY SOURCE

This Decision is adopted by the following Commissioners:

Anne C. George

Jack R. Goldberg

John W. Betkoski, III

CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Department of Public Utility Control, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.

Louise E. Rickard

Acting Executive Secretary

Department of Public Utility Control

MAR 15 2005