

RIPUC Use Only

Date Application Received: ___/___/___
Date Review Completed: ___/___/___
Date Commission Action: ___/___/___
Date Commission Approved: ___/___/___

GIS Certification #:

RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

**The Standard Application Form
Required of all Applicants for Certification of Eligibility of Renewable Energy Resource
(Version 3 – September 12, 2006)**

**STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISSION
Pursuant to the Renewable Energy Act
Section 39-26-1 et. seq. of the General Laws of Rhode Island**

NOTICE:

When completing this Renewable Energy Resources Eligibility Form and any applicable Appendices, please refer to the State of Rhode Island and Providence Plantations Public Utilities Commission Rules and Regulations Governing the Implementation of a Renewable Energy Standard (RES Regulations, Effective Date: January 1, 2006), and the associated RES Certification Filing Methodology Guide. All applicable regulations, procedures and guidelines are available on the Commission's web site: www.ripuc.org/utilityinfo/res.html. Also, all filings must be in conformance with the Commission's Rules of Practice and Procedure, in particular, Rule 1.5, or its successor regulation, entitled "Formal Requirements as to Filings."

- Please complete the Renewable Energy Resources Eligibility Form and Appendices using a typewriter or black ink.
- Please submit one original and three copies of the completed Application Form, applicable Appendices and all supporting documentation to the Commission at the following address:

**Rhode Island Public Utilities Commission
89 Jefferson Blvd
Warwick, RI 02888**

Attn: Renewable Energy Resources Eligibility

In addition to the paper copies, electronic/email submittals are required under Commission regulations. Such electronic submittals should be sent to: Luly E. Massaro, Commission Clerk at lmassaro@puc.state.ri.us

- In addition to filing with the Commission, Applicants are required to send, electronically or electronically and in paper format, a copy of the completed Application including all attachments and supporting documentation, to the Division of Public Utilities and Carriers and to all interested parties. A list of interested parties can be obtained from the Commission's website at www.ripuc.org/utilityinfo/res.html.
- Keep a copy of the completed Application for your records.
- The Commission will notify the Authorized Representative if the Application is incomplete.
- Pursuant to Section 6.0 of the RES Regulations, the Commission shall provide a thirty (30) day period for public comment following posting of any administratively complete Application.
- Please note that all information submitted on or attached to the Application is considered to be a public record unless the Commission agrees to deem some portion of the application confidential after consideration under section 1.2(g) of the Commission's Rules of Practice and Procedure.
- In accordance with Section 6.2 of the RES Regulations, the Commission will provide prospective reviews for Applicants seeking a preliminary determination as to whether a facility would be eligible prior to the formal certification process described in Section 6.1 of the RES Regulations. Please note that space is provided on the Form for applicant to designate the type of review being requested.
- Questions related to this Renewable Energy Resources Eligibility Form should be submitted in writing, preferably via email and directed to: Luly E. Massaro, Commission Clerk at lmassaro@puc.state.ri.us

SECTION I: Identification Information

1.1 Name of Generation Unit (sufficient for full and unique identification):

Swans Falls

1.2 Type of Certification being requested (check one):

Standard Certification Prospective Certification (Declaratory Judgment)

1.3 This Application includes: (Check all that apply)¹

- APPENDIX A: Authorized Representative Certification for Individual Owner or Operator
- APPENDIX B: Authorized Representative Certification for Non-Corporate Entities Other Than Individuals
- APPENDIX C: Existing Renewable Energy Resources
- APPENDIX D: Special Provisions for Aggregators of Customer-sited or Off-grid Generation Facilities
- APPENDIX E: Special Provisions for a Generation Unit Located in a Control Area Adjacent to NEPOOL
- APPENDIX F: Fuel Source Plan for Eligible Biomass Fuels

1.4 Primary Contact Person name and title: Stephanie Hamilton, Contracts Administrator

1.5 Primary Contact Person address and contact information:

Address: Conservation Services Group
40 Washington St.
Westborough, MA 01581

Phone: 508-836-9500 x13285 Fax: 508-836-3181

Email: Stephanie.Hamilton@csgroup.com

1.6 Backup Contact Person name and title: Deborah Razza, Operations Coordinator

1.7 Backup Contact Person address and contact information:

Address: Conservation Services Group
40 Washington St
Westborough, MA 01581

Phone: 508-836-9500 x13386 Fax: 508-836-3181

Email: Deborah.Razza@csgroup.com

¹ Please note that all Applicants are required to complete the Renewable Energy Resources Eligibility Standard Application Form and all of the Appendices that apply to the Generation Unit or Owner or Operator that is the subject of this Form. Please omit Appendices that do not apply.

1.8 Name and Title of Authorized Representative (*i.e.*, the individual responsible for certifying the accuracy of all information contained in this form and associated appendices, and whose signature will appear on the application):

_____ Stephen Cowell, CEO Conservation Services Group _____

Appendix A or B (as appropriate) completed and attached? Yes No N/A

1.9 Authorized Representative address and contact information:

Address: _____ 40 Washington St _____
_____ Westborough, MA 01581 _____

Phone: _____ 508-836-9500 _____ Fax: _____ 508-836-3181 _____

Email: _____ Steve.Cowell@csgroup.com _____

1.10 Owner name and title: _____ John Webster, President _____

1.11 Owner address and contact information:

Address: _____ Southern New Hampshire Hydroelectric Development Corp. _____

_____ P.O Box 178 _____

_____ S. Berwick, ME 03908 _____

Phone: _____ 207-384-5334 _____ Fax: _____ 207-384-2349 _____

Email: _____ Hydromagnt@newhampshirewaterpower.com _____

1.12 Owner business organization type (check one):

Individual

Partnership

Corporation

Other: _____

1.13 Operator name and title: _____ John Webster, President _____

1.14 Operator address and contact information:

Address: _____ Southern New Hampshire Hydroelectric Development Corp. _____

_____ P.O Box 178 _____

_____ S. Berwick, ME 03908 _____

Phone: _____ 207-384-5334 _____ Fax: _____ 207-384-2349 _____

Email: _____ Hydromagnt@newhampshirewaterpower.com _____

1.15 Operator business organization type (check one):

Individual

Partnership

Corporation

Other: _____

SECTION II: Generation Unit Information, Fuels, Energy Resources and Technologies

2.1 ISO-NE Generation Unit Asset Identification Number or NEPOOL GIS Identification Number (either or both as applicable): 884

2.2 Generation Unit Nameplate Capacity: 0.410 MW

2.3 Maximum Demonstrated Capacity: _____ MW

2.4 Please indicate which of the following Eligible Renewable Energy Resources are used by the Generation Unit: (Check ALL that apply) – *per RES Regulations Section 5.0*

- Direct solar radiation
- The wind
- Movement of or the latent heat of the ocean
- The heat of the earth
- Small hydro facilities
- Biomass facilities using Eligible Biomass Fuels and maintaining compliance with all aspects of current air permits; Eligible Biomass Fuels may be co-fired with fossil fuels, provided that only the renewable energy fraction of production from multi-fuel facilities shall be considered eligible.
- Biomass facilities using unlisted biomass fuel
- Biomass facilities, multi-fueled or using fossil fuel co-firing
- Fuel cells using a renewable resource referenced in this section

2.5 If the box checked in Section 2.4 above is “Small hydro facilities”, please certify that the facility’s aggregate capacity does not exceed 30 MW. – *per RES Regulations Section 3.31*

← check this box to certify that the above statement is true

N/A or other (please explain) _____

2.6 If the box checked in Section 2.4 above is “Small hydro facilities”, please certify that the facility does not involve any new impoundment or diversion of water with an average salinity of twenty (20) parts per thousand or less. – *per RES Regulations Section 3.31*

← check this box to certify that the above statement is true

N/A or other (please explain) _____

2.7 If you checked one of the Biomass facilities boxes in Section 2.1 above, please respond to the following:

A. Please specify the fuel or fuels used or to be used in the Unit: _____

B. Please complete and attach Appendix F, Eligible Biomass Fuel Source Plan.

Appendix F completed and attached? Yes No N/A

2.6 Has the Generation Unit been certified as a Renewable Energy Resource for eligibility in another state's renewable portfolio standard?

X Yes No If yes, please attach a copy of that state's certifying order.

Copy of State's certifying order attached? X Yes No N/A

SECTION III: Commercial Operation Date

Please provide documentation to support all claims and responses to the following questions:

3.1 Date Generation Unit first entered Commercial Operation: 03 / 01 / 04 at the site.

3.2 Is there an Existing Renewable Energy Resource located at the site of Generation Unit?

Yes

X No

3.3 If the date entered in response to question 3.1 is earlier than December 31, 1997 or if you checked "Yes" in response to question 3.2 above, please complete Appendix C.

Appendix C completed and attached? Yes No N/A

3.4 Was all or any part of the Generation Unit used on or before December 31, 1997 to generate electricity at any other site?

Yes

X No

3.5 If you checked "Yes" to question 3.4 above, please specify the power production equipment used and the address where such power production equipment produced electricity (attach more detail if the space provided is not sufficient):

SECTION IV: Metering

4.1 Please indicate how the Generation Unit's electrical energy output is verified (check all that apply):

X ISO-NE Market Settlement System

Self-reported to the NEPOOL GIS Administrator

Other (please specify below and see Appendix D: Eligibility for Aggregations):

Appendix D completed and attached? Yes No X N/A

SECTION V: Location

5.1 Please check one of the following that apply to the Generation Unit:

- Grid Connected Generation
- Off-Grid Generation (not connected to a utility transmission or distribution system)
- Customer Sited Generation (interconnected on the end-use customer side of the retail electricity meter in such a manner that it displaces all or part of the metered consumption of the end-use customer)

5.2 Generation Unit address: _____ Swans Falls Hydroelectric Station _____
_____ Swans Falls rd. _____
_____ Fryeburg, ME 04037 _____
_____ Saco River _____

5.3 Please provide the Generation Unit's geographic location information:

A. Universal Transverse Mercator Coordinates: _____

B. Longitude/Latitude: _ W 70 56 42 _ / _ N 44 4 9 _____

5.4 The Generation Unit located: (please check the appropriate box)

- In the NEPOOL control area
- In a control area adjacent to the NEPOOL control area
- In a control area other than NEPOOL which is not adjacent to the NEPOOL control area ← *If you checked this box, then the generator does not qualify for the RI RES – therefore, please do not complete/submit this form.*

5.5 If you checked “In a control area adjacent to the NEPOOL control area” in Section 5.4 above, please complete Appendix E.

Appendix E completed and attached? Yes No N/A

SECTION VI: Certification

- 6.1 Please attach documentation, using one of the applicable forms below, demonstrating the authority of the Authorized Representative indicated in Section 1.8 to certify and submit this Application.

Corporations

If the Owner or Operator is a corporation, the Authorized Representative shall provide **either**:

- (a) Evidence of a board of directors vote granting authority to the Authorized Representative to execute the Renewable Energy Resources Eligibility Form, **or**
- (b) A certification from the Corporate Clerk or Secretary of the Corporation that the Authorized Representative is authorized to execute the Renewable Energy Resources Eligibility Form or is otherwise authorized to legally bind the corporation in like matters.

Evidence of Board Vote provided? Yes No N/A

Corporate Certification provided? Yes No N/A

Individuals

If the Owner or Operator is an individual, that individual shall complete and attach APPENDIX A, or a similar form of certification from the Owner or Operator, duly notarized, that certifies that the Authorized Representative has authority to execute the Renewable Energy Resources Eligibility Form.

Appendix A completed and attached? Yes No N/A

Non-Corporate Entities

(Proprietorships, Partnerships, Cooperatives, etc.) If the Owner or Operator is not an individual or a corporation, it shall complete and attach APPENDIX B or execute a resolution indicating that the Authorized Representative named in Section 1.8 has authority to execute the Renewable Energy Resources Eligibility Form or to otherwise legally bind the non-corporate entity in like matters.

Appendix B completed and attached? Yes No N/A

6.2 Authorized Representative Certification and Signature:

I hereby certify, under pains and penalties of perjury, that I have personally examined and am familiar with the information submitted herein and based upon my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment. My signature below certifies all information submitted on this Renewable Energy Resources Eligibility Form. The Renewable Energy Resources Eligibility Form includes the Standard Application Form and all required Appendices and attachments. I acknowledge that the Generation Unit is obligated to and will notify the Commission promptly in the event of a change in a generator's eligibility status (including, without limitation, the status of the air permits) and that when and if, in the Commission's opinion, after due consideration, there is a material change in the characteristics of a Generation Unit or its fuel stream that could alter its eligibility, such Generation Unit must be re-certified in accordance with Section 9.0 of the RES Regulations. I further acknowledge that the Generation Unit is obligated to and will file such quarterly or other reports as required by the Regulations and the Commission in its certification order. I understand that the Generation Unit will be immediately de-certified if it fails to file such reports.

Signature of Authorized Representative:

SIGNATURE:



DATE:

10/24/06

CEO

(Title)

STATE OF CONNECTICUT
Department of Public Utility Control

Ten Franklin Square, New Britain, CT 06051
Voice # (860) 827-1553 Main FAX # (860) 827-2613

Public View-Approved

Name of Generation Unit ⇅	Generation Unit Location ⇅	Filer	Owner Name ⇅	NEGIS Identification Number ⇅	Rate Capacity MW	Commercial Operation Date	Class I Facilities	Class II Facilities
<u>Swans Falls Hydroelectric Station</u>	Grid Connected Generation		John N. Webster	Southern New hampshire Hydroelectric Developemnt Corp		884- 1 Swans falls	Run-Of-The- River Hydropower (See Note 1 below)	

Certification of Authorized Representative

August 21, 2006
Rhode Island Public Utilities Commission
89 Jefferson Blvd.
Warwick, RI MA 02888
Attn: Renewable Energy Resources Eligibility

I, John N. Weisz Secretary of Saco River Hydro LLC, certify that Stephen L. Cowell as CEO Conservation Services Group, Inc. is the Authorized Representative named in Section 1.8 of Renewable Energy Resources Eligibility Form and is authorized to execute The Standard Application Form, or is otherwise authorized to legally bind the corporation in like matters.

Signature:

J. N. Weisz

Date:

8/15/06

STATE OF CONNECTICUT
Department of Public Utility Control

Ten Franklin Square, New Britain, CT 06051
Voice # (860) 827-1553 Main FAX # (860) 827-2613

Public View-Approved

Name of Generation Unit ⇕	Generation Unit Location ⇕	Owner Name ⇕	NEGIS Identification Number ⇕	Rate Capacity MW	Commercial Operation Date	Class I Facilities	Class II Facilities
<u>Swans Falls Hydroelectric Station</u>	Grid Connected Generation	John N. Webster	Southern New hampshire Hydroelectric Developemnt Corp	884- Swans falls	1 Swans River falls	Run-Of-The- River Hydropower (See Note 1 below)	

**State Of Connecticut
Department of Public Utility Control**

**Application for Connecticut Renewable Generator Qualification
Pursuant to the Renewable Portfolio Standards
Connecticut General Statutes § 16-1 (26)(27) & 16-245a**

**Please mail a hard copy of the completed application to:
Louise Rickard, Acting Executive Secretary
Connecticut Department of Public Utility Control
Attn: RPS Application
10 Franklin Square
New Britain, CT 06051**

Please Note:

- Complete an electronic version of the application for a Connecticut Renewable Generator Qualification and mail a hard copy to the address listed above.
- The application is available on the Department's website (<http://www.dpuc.state.ct.us/CTRPSGeneratorApplication.nsf>).
- All information submitted on or attached to the application is considered to be a public record in accordance with Connecticut General Statutes 1-210 of The Freedom of Information Act, except for any confidential materials filed under protective order.
- Applicants are responsible for promptly notifying the Department of any future changes in information contained within this application.
- Please keep a copy of the application for your records.
- If you should have any questions, please contact David Goldberg via email david.goldberg@po.state.ct.us or directly at (860) 827-2886.

Section 1: Identification Information

Name of Generation Facility: Swans Falls
Hydroelectric Station
Location/Address: Swans Falls Road
Fryeburg, Maine 04037
Saco River

Owner Name/Information

Name: Southern New
hampshire
Hydroelectric
Developemnt Corp
Address: P.O. Box 178, S.
Berwick, Maine
03908
Phone: 207-384-5334
Fax: 207-384-2349

Email: Hydromagnt@newhampshirewaterpower.com

Authorized Representative/Information

Name: John N. Webster
Business:
Address: P.O. Box 178, S.
Berwick, Maine
03908
Phone: 207-384-5334
Fax: 207-384-2349
Email: Hydromagnt@newhampshirewaterpower.com

Alternative Representative/Information

Name: Same as above
Address:
Phone:
Fax:
Email:

Operator Name/Information

Name: Same as above
Address:
Phone:
Fax:
Email:

ISO-NE Generation Unit Asset Identification Number, NE-GIS Identification Number or Other:
884-Swans falls

Rated Capacity: 1 MW

Commercial Operation Date:
03/01/2004

If you are filing for Class I registration please complete Section 2 below. If you are seeking Class II registration, please proceed to Section 3.

Section 2: Class I Facilities

Please indicate which of the following eligible renewable fuels, energy resources and technologies are used by the generation unit: (Check ALL that apply)

Run-Of-The-River Hydropower (See Note 1 below)

Note 1: Run-Of-The-River Hydropower facility provided such facility has a generating capacity of not more than five (5) megawatts, does not cause an appreciable change in the river flow, and began operation after July 1, 2003.

Note 2: Biomass Facility, including, but not limited to, a biomass gasification plant that utilizes land clearing debris, tree stumps, or other biomass that regenerates or the use of which will not result in a depletion of resources, provided such biomass is cultivated and harvested in a sustainable manner and the average emission rate for such facility is equal to or less than .075 pounds of nitrogen oxides per million BTU of heat input for the previous calendar quarter,

except that energy derived from a biomass facility with a capacity of less than five hundred (500) kilowatts that began construction before July 1, 2003, may be considered a Class I Renewable Energy Source provided such biomass is cultivated and harvested in a sustainable manner.

Note 3: Low Emission Advanced Renewable Energy Conversion Technologies. Please attach or type below a description of the fuel source and technology to be utilized

Section 3: Class II Facilities

None Pick!

Note 4: Run-of-the-River hydropower facility provided such facility has a generating capacity of not more than five (5) megawatts, does not cause an appreciable change in the riverflow, and began operation prior to July 1, 2003.

Note 5: Biomass facility that began operation before July 1, 1998, provided the average emission rate for such facility is equal to or less than .2 pounds of nitrogen oxides per million BTU of heat input for the previous calendar quarter.

Section 4: Biomass Documentation (See Note 6 below)

Please attach or type below an explanation of why you believe the facility uses "biomass that is cultivated and harvested in a sustainable manner."

If you checked an eligible biomass facility above, please respond to the following:

A. Please attach a copy of the Generation Unit's Valid Air Permit or equivalent authorization indicating nitrogen oxides emissions compliance with Class I or Class II resources for the previous calendar quarter.

B. Effective date of Valid Air Permit or equivalent authorization:

C. State or jurisdiction issuing Valid Air Permit or equivalent authorization:

Note 6: Biomass facilities shall file with the Department at the end of each calendar quarter an affidavit that the average emission rate of such facility is equal to or less than the threshold level for qualification.

Section 5: Run-of-River Hydro Documentation

Please Attach:

- Facility's current FERC License, including a Run-of-River Monitoring and Compliance Plan. If facility is not licensed by FERC, please submit a FERC decision or a court decision showing that FERC has no jurisdiction, or has declined to exercise jurisdiction over such facility and a plan describing how run-of-river operation is accomplished, including a description of all equipment and instrumentation needed to maintain run-of-river operation, and provide an operational history demonstrating that pond level inflows and outflows are consistent with run-of-river operations.
- FERC documentation showing the facility's first date of commercial operation.
- If the facility has upgraded its equipment to increase its nameplate capacity after July 1, 2003, submit FERC documentation showing such increase and date of first commercial operation for such increase. If the facility is not regulated by FERC, submit technical data supporting the claim of increased capacity, including a description of any new equipment and instrumentation and an explanation of how it increases the capacity.
- Does the applicant have an ownership interest in any of the electric generators or hydroelectric facilities or other FERC projects that (1) utilize or rely on water released from the facility's dam for flow, or (2) the facility relies on for flow, or (3) affect or are affected by the facility's flow.

If yes, provide the name, location and capacity of such generators, facilities or projects and any ownership interest held by the applicant in such generators, facilities or projects.

File Attachment: **State Of Connecticut**

Department of Public Utility Control

Authorized John N. Webster

Representative:

Generating Facility: Swans Falls Hydro

FERC License No.: P-11365-ME

Please electronically file and hard copy mail (Executive Secretary's Office, 10 Franklin Square, New Britain, CT 06051) the facility's current FERC license including Run-of-River Monitoring and Compliance Plan, and as applicable: (1) FERC documentation showing the facility's first date of commercial operation; (2) FERC documentation showing first date of commercial operation for new incremental capacity; (3) FERC documentation showing the new operation date of a refurbished facility.

File(s) Attachment:

Provide Comments as necessary:

Files are TIFF

DRG's Comment:



CT DPUC_1014161541_001.TIF CT DPUC_1015072909_001.TIF

Section 6: Metering—All New England Generators must use the GIS System.

ISO-NE Market Settlement System (See Note 7 below)

Note 7: ISO-NE Market Settlement System and self-reported to the NE-GIS Administrator

If Other was selected above (please explain):

Section 7: Location

Please check each of the following that apply to the generation unit:

Grid Connected Generation

*If facility is located outside of Connecticut, submit the following information:

Please attach documentation regarding the entity with competent jurisdiction that will be responsible for auditing and verification of the facility's generation output. See DPUC Docket No. 04-05-13.

Section 8: Other Information

Does the generation unit use an ineligible fuel in conjunction with an eligible Connecticut renewable fuel source?

No

Will the monthly percentage of electrical energy output attributable to each fuel be reported to the NE-GIS Administrator each month pursuant to NE-GIS requirements?

N/A

What type of measurement and recording equipment does your company currently have on site or intend to install to appropriately track electric generation output attributable to each fuel source?

Watt hour meter read by Public Service Co. of N.H.

In submitting this application with the appropriate documentation the owner/authorized representative acknowledges that the generation unit's renewable generation attributes to be used for compliance with the Connecticut Renewable Portfolio Standard have not otherwise been, nor will be, sold, retired, claimed or represented as part of electricity output or sales, or used to satisfy obligations in jurisdictions other than Connecticut.

Comments:

Section 9:

Please attach the documentation (letter from unit owner, etc.) demonstrating the authority of the Authorized Representative indicated in Section 1 to certify and submit this Application.

Authorized Signature-(for hard copy filing)

***Reminder, please print, sign and mail hard copy.**

Cancel Back

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Docket Number: 04-06-30