

STATE OF RHODE ISLAND
PUBLIC UTILITIES COMMISSION

ANNUAL REPORT OF WATER CARRIERS

Company _____

For the year ending _____

Each water carrier transporting passengers, vehicles, and/or freight pursuant to a water carrier certificate of public convenience and necessity issued by the Rhode Island Department of Public Utilities (Division) shall submit an annual report to the Public Utilities Commission, if the water carrier's annual revenue is \$100,000 (one hundred thousand dollars) or greater. The original and one copy of the report, based on the company's most recent fiscal year, is to be filed with the Commission clerk not later than 90 days after the close of the company's fiscal year. If annual revenues are under \$1 million, the entity may file its report not later than 120 days after the close of the company's fiscal year.

Report of: _____
(Report exact legal name of the utility)

Type of Organization _____
(i.e. corporation, partnership, etc.)

For the year ended _____

Principal location(s) _____

Name of contact person _____

Title _____

Telephone _____

Date and state of incorporation, or date of commencement of service _____

Brief description of services rendered _____

This report is an Original / a Resubmission.

ATTESTATION

The undersigned officer certifies that he/she has examined the accompanying report, and that to the best of his/her knowledge, information, and belief, all statements and representations in the accompanying report are true and the accompanying report is a correct statement of business and affairs of the above named business for the period indicated.

Name _____ Title _____

Signature _____ Date _____

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Name of company

Year-end date of report

BALANCE SHEET
ASSETS & OTHER DEBITS

Description	Balance at Beginning of Year	Balance at End of Year
CURRENT ASSETS:		
Cash	\$	\$
Accounts Receivable – Trade		
Less: Allowance for Doubtful Accounts		
Net Accounts Receivable – Trade		
Receivables – Associated Companies		
Receivables – Other		
Prepaid Expenses		
Total Current Assets	\$	\$
PROPERTY, PLANT & EQUIPMENT:	\$	\$
Land		
Vessels		
Leasehold Improvements		
Equipment		
Less – Accumulated Depreciation		
Total Property, Plant, and Equipment	\$	\$
OTHER ASSETS		
Restricted Cash	\$	\$
Prepaid Expense		
Total Other Assets	\$	\$
Total Assets	\$	\$

Name of company

Year-end date of report

BALANCE SHEET
CAPITALIZATION AND LIABILITIES

Description	Balance at Beginning of Year	Balance at End of Year
CURRENT LIABILITIES:		
Bonds Payable Within One Year	\$	\$
Payables – Associated Companies		
Payables – Other		
Accrued & Withheld Payroll Taxes		
Accrued Interest		
Accrued Payroll and Vacation Pay		
Accrued Pension		
Charter Deposits		
Accrued Expenses – Other		
Unearned Revenue		
Total Current Liabilities	\$	\$
LONG-TERM DEBT		
Bond Payable less Bonds Payable Within One Year (entered above as current liability)	\$	\$
CAPITALIZATION:		
Common Equity	\$	\$
Common Stock		
Other Paid-in Capital		
Unappropriated Retained Earnings		
Total Common Equity	\$	\$
Contributed Capital	\$	\$
Preferred Stock	\$	\$
Total Capitalization	\$	\$
Total Capitalization and Liabilities	\$	\$

Name of company

Year-end date of report

INCOME STATEMENT and CHANGES IN RETAINED EARNINGS

Description	Current Year	Previous Year
Operating Revenue:		
Passenger	\$	\$
Vehicle		
Freight		
Mail		
Tour and Cruise		
Charters		
Other Revenue (Itemize):		
Total Gross Operating Revenue	\$	\$
Operating Expenses:		
Salaries and Wages	\$	\$
Payroll Taxes		
Employee Benefits		
Pension		
Repairs and Maintenance		
Fuel		
Insurance		
Telephone		
Mail Agents		
Office		
Postage		
Travel		
Injuries and Damages		
Miscellaneous		
Charters		
Professional Fees		
Dues and Subscriptions		
Training		
Utilities and Heat		
Janitorial		
Rent		
Terminal		

Advertising		
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Name of company

Year-end date of report

INCOME STATEMENT and CHANGES IN RETAINED EARNINGS
(Continued)

Description	Current Year	Previous Year
Operating Expenses (Continued):		
Depreciation		
Amortization		
Lease expense – Vessels		
Lease expense – Other		
Other Expenses (Itemize):		
Property Taxes		
Federal Income Tax		
State Income Tax		
Landing fees		
Total Gross Operating Expenses	\$	\$
Gross Income (Loss)	\$	\$
Interest Expense		
Interest Income		
Net Income (Loss)	\$	\$
Retained Earnings, Beginning of Year	\$	\$
Dividends Declared / Paid	\$	\$
Other Adjustments	\$	\$
Retained Earnings, End of Year	\$	\$

Name of company

Year-end date of report

LOAN INFORMATION

Name of Lender	Due Date	Annual Interest Rate	Collateral Pledged	Balance Beginning of year	Balance End of year	Interest Paid During year
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$
				\$	\$	\$

Name of company

Year-end date of report

**DIVIDEND INFORMATION:
PREFERRED STOCK – YEAR BEING REPORTED**

Number of Shares Outstanding	Dividend Payment Date	Dividend: Dollars per Share	Dividend: Total Dollars
		\$	\$
		\$	\$
		\$	\$
		\$	\$

**DIVIDEND INFORMATION:
COMMON STOCK – YEAR BEING REPORTED**

Number of Shares Outstanding	Dividend Payment Date	Dividend: Dollars per Share	Dividend: Total Dollars
		\$	\$
		\$	\$
		\$	\$
		\$	\$

**DIVIDEND INFORMATION:
PREFERRED STOCK – PRIOR YEAR**

Number of Shares Outstanding	Dividend Payment Date	Dividend: Dollars per Share	Dividend: Total Dollars
		\$	\$
		\$	\$
		\$	\$
		\$	\$

**DIVIDEND INFORMATION:
COMMON STOCK – PRIOR YEAR**

Number of Shares Outstanding	Dividend Payment Date	Dividend: Dollars per Share	Dividends: Total Dollars
		\$	\$
		\$	\$
		\$	\$
		\$	\$

Name of company

Year-end date of report

CAPITAL ASSETS

Provide overall summary information on capital assets by asset category. If this information is not available, please explain and provide or attach such capital asset summary information as is available.

Asset Category	Service Life or Range	Original Cost	Accumulated Depreciation	Net Book Value
Land				
Vessels				
Vehicles				
Docks				
Buildings				
Equipment				
Office Equipment / Furnishings				
Totals				

Name of company

Year-end date of report

**SUMMARY OF VESSELS IN SERVICE AND
VESSELS CARRIED IN PLANT ACCOUNTS**

Vessels owned:

Vessel Name	Date of Acquisition	Depreciable Life (Years)	Original Cost	Accumulated Depreciation	Net Book Value	No. of Months in Service Current Year
			\$	\$	\$	
			\$	\$	\$	
			\$	\$	\$	
			\$	\$	\$	
			\$	\$	\$	
			\$	\$	\$	
			\$	\$	\$	

Vessels leased:

Vessel Name	Name of Lessor	Beginning Date of Lease	Duration of Lease (Years)	Current Year Lease Payments	No. of Months in Service Current Year
				\$	
				\$	
				\$	
				\$	
				\$	
				\$	
				\$	

